

**UNITED STATES DISTRICT COURT  
FOR THE  
DISTRICT OF COLORADO**

**\*\*\***

**LOCAL RULES OF PRACTICE**

**\*\*\***



Effective December 1, 2015

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLORADO**

CHIEF JUDGE MARCIA S. KRIEGER  
SENIOR JUDGE RICHARD P. MATSCH  
SENIOR JUDGE JOHN L. KANE  
SENIOR JUDGE LEWIS T. BABCOCK  
SENIOR JUDGE WILEY Y. DANIEL  
JUDGE ROBERT E. BLACKBURN  
JUDGE PHILIP A. BRIMMER  
JUDGE CHRISTINE M. ARGUELLO  
JUDGE WILLIAM J. MARTÍNEZ  
JUDGE R. BROOKE JACKSON  
JUDGE RAYMOND P. MOORE

\* \* \* \* \*

MAGISTRATE JUDGE MICHAEL J. WATANABE  
MAGISTRATE JUDGE CRAIG B. SHAFFER  
MAGISTRATE JUDGE MICHAEL E. HEGARTY  
MAGISTRATE JUDGE KRISTEN L. MIX  
MAGISTRATE JUDGE KATHLEEN M. TAFOYA  
MAGISTRATE JUDGE NINA Y. WANG  
MAGISTRATE JUDGE DAVID L. WEST  
MAGISTRATE JUDGE GORDON P. GALLAGHER

Jeffrey P. Colwell, Clerk  
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**SECTION I - CIVIL RULES  
UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLORADO**

**I. SCOPE, PURPOSE, AND CONSTRUCTION**

**D.C.COLO.LCivR 1.1  
SCOPE OF THE LOCAL CIVIL RULES**

- (a) **Title and Citation.** These rules shall be known as the Local Rules of Practice of the United States District Court for the District of Colorado - Civil. These rules shall be cited as D.C.COLO.LCivR Rule, Subdivision, Paragraph, Subparagraph, Item (e.g., D.C.COLO.LCivR 72.1(b)(1)(A)).
- (b) **Effective Date.** Unless otherwise stated, these rules are effective as of December 1 of each year.
- (c) **Scope.** These rules apply in all civil actions, cases, and proceedings (civil actions) in the United States District Court for the District of Colorado, except as specifically addressed in Section III - AP Rules.
- (d) **Numbering and Indexing.** These rules are numbered and indexed insofar as practicable in accordance with the specific designations of the Judicial Conference Uniform Numbering System.
- (e) **Judicial Officer.** Judicial officer means a district judge, magistrate judge, or circuit judge sitting by assignment.
- (f) **Clerk.** Clerk means the clerk of the court or a deputy clerk.
- (g) **CM/ECF.** CM/ECF is the Case Management/Electronic Case Filing system of the court.
- (h) **Pilot Programs or Special Projects.** A pilot program or special project may be authorized by the court following reasonable public notice and opportunity for public comment.
  - (1) Public notice shall specify:
    - (A) the purpose of the pilot program or special project;

- (B) the term of the pilot program or special project;
  - (C) the effect on any local rule of practice; and
  - (D) any requirement necessary to implement or facilitate the pilot program or special project.
- (2) The term for a pilot program or special project shall not exceed 18 months, but may be extended for one six-month period in conjunction with the promulgation of a corresponding local rule.

**D.C.COLO.LCivR 1.2  
FORMS**

Court approved forms are on the court's website [HERE](#). A form may be modified by the court or a judicial officer at any time. A form modified by a judicial officer may be under the judicial officer's practice standards on the court's website.

**II. COMMENCEMENT OF ACTION, SERVICE OF PROCESS,  
PLEADINGS, MOTIONS, AND ORDERS**

**D.C.COLO.LCivR 3.1  
CIVIL COVER SHEET**

A properly completed Civil Cover Sheet [HERE](#) shall be filed at the commencement of each civil action. If the filing party is represented by counsel, the Civil Cover Sheet shall be completed and signed by an attorney of record in the case. In actions governed by Section III - AP Rules, the filing party shall check the box titled "AP Docket" to the Brief Description field in Section VI of the Civil Cover Sheet. Disputes as to the AP Docket designation shall be addressed by motion filed before an answer or other response is due.

**D.C.COLO.LCivR 3.2  
NOTICE OF RELATED CASES**

- (a) **Notice.** A party to a case must file a notice identifying all cases pending in this or any other federal, state, or foreign jurisdiction that are related to the case. Under this rule, no party may seek special assignment, reassignment, or transfer of a related case from one judicial officer to another.
- (b) **Related Cases.** Related cases are cases that have common facts and claims and

- (1) have at least one party in common; or
- (2) are filed serially or collectively as a group by the same attorney or law firm.

**(c) Time for Filing; Supplemental Filing.**

- (1) A party shall file the required notice at the time of its first appearance or the filing of its first pleading or document, or other matter addressed to the court.
- (2) A party shall file promptly a supplemental notice of any change in the information required under this rule.

**(d) Procedure on Notice.** On notice of a related case, the judicial officer with the lowest numbered case shall confer with every other judicial officer to whom a related case is assigned to discuss whether the related cases should be submitted for special assignment or reassignment under D.C.COLO.LCivR 40.1(a) or transfer under D.C.COLO.LCivR 40.1(d)(4)(B).

**D.C.COLO.LCivR 5.1**

**FORMATTING, SIGNATURES, FILING, AND SERVING PLEADINGS AND DOCUMENTS**

**(a) Electronic Formatting, Signatures, and Filing.** Unless otherwise provided in this rule or otherwise ordered, each pleading and document filed in a civil action shall be formatted, signed, and filed electronically in CM/ECF as prescribed by the Electronic Case Filing Procedures [HERE](#).

**(b) Exceptions to Electronic Formatting and Filing.**

- (1) **Materials that Cannot Be Converted to Electronic Form.** An item such as a videotape, audiotape, etc. shall be filed by delivery to the clerk's office.
- (2) **Pleadings and Documents by Unrepresented Prisoners.** These shall be filed in paper.
- (3) **Pleadings and Documents by Other Unrepresented Parties.** These shall be filed in paper unless the filing party obtains authorization to use electronic filing under the Electronic Case Filing Procedures [HERE](#).
- (4) **E-mailed Documents.** The Electronic Case Filing Procedures specify the documents that shall be e-mailed to the court to open a case [HERE](#).

- (c) **Formatting and Filing of Pleadings and Documents and Maintenance of Contact Information by an Unrepresented Prisoner or Party.** If not filed electronically, an unrepresented prisoner or party shall use the procedures, forms, and instructions posted on the court's website [HERE](#). If the unrepresented party is a prisoner and is unable to access the website, on request the clerk shall provide copies of the necessary procedures, forms, and instructions. Notice of change of name, mailing address, or telephone number of an unrepresented prisoner or party shall be filed not later than five days after the change. A user of CM/ECF shall keep his/her primary and alternative e-mail address current. Instructions for a user to update and maintain his/her CM/ECF account are [HERE](#).
- (d) **Electronic Service.** When a pleading or document is filed in CM/ECF, it is served electronically under Fed. R. Civ. P. 5. The time to respond or reply shall be calculated from the date of electronic service, regardless of whether other means of service are used. The Notice of Electronic Filing (NEF) generated by CM/ECF constitutes a certificate of service. Registration in CM/ECF shall constitute consent to electronic service of all pleadings or documents.

#### **D.C.COLO.LCivR 5.3 NON-FILED DISCOVERY MATERIALS**

- (a) **All Cases.** In addition to the documents specified in Fed. R. Civ. P. 5(d)(1), a deposition notice and a discovery subpoena shall not be filed. A party who arranges for a deposition transcript or recording or who serves discovery shall act for the court as custodian of all non-filed discovery materials. The custodian shall bring the original sealed transcript to trial.
- (b) **Prisoner Cases.** To achieve electronic service, an unrepresented prisoner who is proceeding in forma pauperis may file written discovery requests under Fed. R. Civ. P. 31, 33, 34, and 36.

#### **D.C.COLO.LCivR 6.1 EXTENSION OF TIME OR CONTINUANCE**

- (a) **Extension of Time.** The parties may stipulate in writing to one extension of not more than 21 days beyond the time limits prescribed by the Federal Rules of Civil Procedure to respond to a pleading or amended pleading, interrogatories, requests for production of documents, or requests for admissions. The stipulation must be filed before the expiration of the time limits to respond prescribed in the Federal Rules of Civil Procedure and shall be effective on filing, unless otherwise ordered. Any other request for an extension of time or continuance must be approved by court order on motion.

- (b) **Content of Motion for Extension of Time or Continuance.** A motion for extension of time or continuance shall state the reason for an extension or continuance, the length of the requested extension or continuance, and the total number of extensions or continuances granted previously.
- (c) **Service on Client.** When a stipulation or motion for extension of time or continuance is filed, it shall be served contemporaneously by counsel on his or her client.

### III. PLEADINGS AND MOTIONS

#### D.C.COLO.LCivR 7.1 MOTIONS

- (a) **Duty to Confer.** Before filing a motion, counsel for the moving party or an unrepresented party shall confer or make reasonable good faith efforts to confer with any opposing counsel or unrepresented party to resolve any disputed matter. The moving party shall describe in the motion, or in a certificate attached to the motion, the specific efforts to fulfill this duty.
- (b) **Exceptions to the Duty to Confer:**
  - (1) a motion filed in a case involving an unrepresented prisoner;
  - (2) a motion brought under Fed. R. Civ. P. 12;
  - (3) a motion brought under Fed. R. Civ. P. 56; or
  - (4) a motion brought under D.C.COLO.LAttyR 5(b).
- (c) **Unopposed Motion.** If a motion is unopposed, it shall be titled “Unopposed Motion for \_\_\_\_\_.”
- (d) **Motion, Response and Reply; Time for Serving and Filing; Length.** Excluding motions filed under Fed. R. Civ. P. 56 or 65, a motion involving a contested issue of law shall state under which rule or statute it is filed and be supported by a recitation of legal authority in the motion. The responding party shall have 21 days after the date of service of a motion, or such lesser or greater time as the court may allow, in which to file a response. The moving party may file a reply no later than 14 days after the date of service of the response, or such lesser or greater time as the court may allow. The date of service of a motion electronically filed shall be determined under D.C.COLO.LCivR 5.1(d). Nothing in this rule precludes a judicial officer from ruling on a motion at any time after it is filed.

A motion shall not be included in a response or reply to the original motion. A motion shall be filed as a separate document.

- (e) **Citations.** Every citation in a motion, response, or reply shall include the specific page or statutory subsection to which reference is made. If an unpublished opinion is cited, a copy of the opinion shall be provided to any unrepresented party.
- (f) **Supplemental Authority.** If the matter is set for hearing, any supplemental authority must be filed at least seven days before the hearing.
- (g) **Proposed Order.** A moving party may submit a proposed order with an unopposed motion or nondispositive motion. A general order attached to a motion (such as “it is ordered” or “so ordered”) is not permitted. A proposed order must be on a separate document, bear a separate caption, and set out clearly the order’s basis and terms.
- (h) **Hearing.** A motion may be decided without oral argument, at the court’s discretion.
- (i) **Sanctions.** Motions, responses, and replies shall be concise. A verbose, redundant, ungrammatical, or unintelligible motion, response, or reply may be stricken or returned for revision, and its filing may be grounds for sanctions.

## **D.C.COLO.LCivR 7.2**

### **PUBLIC ACCESS TO DOCUMENTS AND PROCEEDINGS**

- (a) **Policy.** Unless restricted by statute, rule of civil procedure, or court order, the public shall have access to all documents filed with the court and all court proceedings.
- (b) **Levels of Restriction.** There are three levels of restriction. Level 1 limits access to the parties and the court. Level 2 limits access to the filing party and the court. Level 3 limits access to the court.
- (c) **Motion to Restrict.** A motion to restrict public access shall be open to public inspection and must:
  - (1) identify the document or the proceeding for which restriction is sought;
  - (2) address the interest to be protected and why such interest outweighs the presumption of public access (stipulations between the parties or stipulated protective orders with regard to discovery, alone, are insufficient to justify restriction);



- (3) identify a clearly defined and serious injury that would result if access is not restricted;
  - (4) explain why no alternative to restriction is practicable or why only restriction will adequately protect the interest in question (e.g., redaction, summarization, restricted access to exhibits or portions of exhibits); and
  - (5) identify the level of restriction sought.
- (d) Public Notice of Motion to Restrict; Objection.** Notice of the filing of such motion shall be posted on the court's website on the court business day following the filing of the motion. Any person may file an objection to the motion to restrict no later than three court business days after posting. Absent exigent circumstances, no ruling on a motion to restrict shall be made until the time for objection has passed. The absence of objection shall not alone result in the granting of the motion.
- (e) Filing Restricted Documents.** A document subject to a motion to restrict shall be filed as a restricted document and shall be subject to restriction until the motion is determined by the court. If a document is filed as a restricted document without an accompanying motion to restrict, it shall retain a Level 1 restriction for 14 days. If no motion to restrict is filed within such time period, the restriction shall expire and the document shall be open to public inspection.

#### **D.C.COLO.LCivR 8.1 UNREPRESENTED (PRO SE) PARTIES**

- (a) Review of Unrepresented Party Pleadings.** A judicial officer designated by the Chief Judge shall review the pleadings of an unrepresented party who is allowed to proceed without prepayment of fees to determine whether the pleadings should be dismissed summarily. A judicial officer may request additional facts or documentary evidence necessary to make this determination.
- (b) Review of Prisoner Pleadings.** A judicial officer designated by the Chief Judge shall review the pleadings of a prisoner (whether represented by counsel or not) to determine whether the pleadings should be dismissed summarily if the prisoner is
- (1) proceeding without prepayment of fees;
  - (2) challenging prison conditions;
  - (3) seeking redress from a governmental entity, officer, or employee; or

- (4) asserting claims pertinent to his or her conviction or sentence, except in death penalty cases.

A judicial officer may request additional facts or documentary evidence necessary to make this determination.

- (c) **Assignment.** If an action is not dismissed summarily, the action shall be assigned to a district judge and a magistrate judge under D.C.COLO.LCivR 40.1. A judicial officer to whom the action is assigned may order issuance of a summons.

#### **D.C.COLO.LCivR 10.1**

#### **FORMAT OF PLEADINGS AND DOCUMENTS PRESENTED FOR FILING**

- (a) **Scope.** This rule applies to all pleadings and documents presented for filing.
- (b) **Size.** All pleadings and documents shall be on 8½ by 11 inch white paper.
- (c) **Margins.** Margins shall be 1½ inches at the top and 1 inch at the left, right, and bottom of each page.
- (d) **Font.** Unless otherwise ordered, all typewritten pleadings and documents shall use black ink and not less than 12 point font.
- (e) **Spacing.** All pleadings and documents shall be double spaced.
- (f) **Text.** Text shall be printed on one side of the page only.
- (g) **Legibility.** All handwritten pleadings and documents shall be legible, utilizing upper and lower case lettering.

#### **D.C.COLO.LCivR 15.1**

#### **AMENDED PLEADING**

- (a) **Amendment as a Matter of Course or by Consent.** A party who files an amended pleading under Fed. R. Civ. P. 15(a)(1) or with the consent of the opposing party shall file a separate notice of filing the amended pleading and shall attach as an exhibit a copy of the amended pleading which strikes through (e.g., ~~strikes through~~) the text to be deleted and underlines (e.g., underlines) the text to be added.
- (b) **Amendment By Motion.** A party who files an opposed motion for leave to amend a pleading shall attach as an exhibit a copy of the proposed amended pleading which strikes through (e.g., ~~strikes through~~) the text to be deleted and

underlines (e.g., underlines) the text to be added. Unless otherwise ordered, the proposed amended pleading shall not incorporate by reference any part of the preceding pleading, including exhibits. Unless otherwise ordered, if a motion for leave to amend a pleading is granted, the moving party shall file and serve the amended pleading on all parties under Fed. R. Civ. P. 5 no later than 14 days after the filing of the order granting leave to amend.

### **D.C.COLO.LCivR 16.1 SCHEDULING CONFERENCE**

A scheduling conference shall be convened by a judicial officer to develop a scheduling order. The order setting the scheduling conference shall set the deadline for the parties to meet and attempt to agree on a scheduling order under Fed. R. Civ. P. 26(f). Except in cases removed to this court or cases where the parties have agreed otherwise, plaintiff shall file the proposed scheduling order. In a case removed to this court, the party who removed the case shall file the proposed scheduling order.

### **D.C.COLO.LCivR 16.2 SCHEDULING ORDER**

Unless otherwise ordered, a scheduling order shall be in the form and shall comply with the instructions [HERE](#).

### **D.C.COLO.LCivR 16.3 FINAL PRETRIAL ORDER**

Unless otherwise ordered, a final pretrial order shall be in the form and shall comply with the instructions [HERE](#).

### **D.C.COLO.LCivR 16.6 ALTERNATIVE DISPUTE RESOLUTION**

- (a) **Alternative Dispute Resolution.** Under 28 U.S.C. § 652, all litigants in civil actions shall consider the use of an alternative dispute resolution process. A district judge or a magistrate judge exercising consent jurisdiction may direct the parties to engage in an early neutral evaluation or other alternative dispute resolution proceeding. To facilitate settlement or resolution of the suit, the district judge or a magistrate judge exercising consent jurisdiction may stay the action in whole or in part during a time certain or until further order. Relief from an order under this rule may be had upon motion showing good cause.
- (b) **Definition of Early Neutral Evaluation.** Early neutral evaluation means a nonbinding, non-adjudicative assessment of a case by a magistrate judge.

- (c) **Disqualification of Neutrals.** A magistrate judge providing early neutral evaluation may be disqualified under the provisions of 28 U.S.C. §§ 144 or 455.
- (d) **Designation of Court ADR Administrator.** Under 28 U.S.C. § 651(d), the clerk of the court is designated to implement, administer, oversee, and evaluate the court's alternative dispute resolution program.
- (e) **Confidentiality.** A party or the magistrate judge in an alternative dispute resolution proceeding shall not voluntarily disclose or through discovery or compulsory process be required to disclose any information concerning any communication provided in confidence to the magistrate judge in connection with an early neutral evaluation or other alternative dispute resolution proceeding.

## IV. PARTIES

[No Local Rules]

## V. DEPOSITIONS AND DISCOVERY

### D.C.COLO.LCivR 26.1

#### COMPLIANCE WITH FED. R. CIV. P. 26 REQUIREMENTS

- (a) **Proposed Scheduling Order.** The tendering of a proposed scheduling order in the form [HERE](#) shall satisfy the requirement to submit a written report outlining the discovery plan under Fed. R. Civ. P. 26(f).
- (b) **Pretrial Disclosures.** Disclosures under Fed. R. Civ. P. 26(a)(3) shall be made in the proposed final pretrial order [HERE](#).

### D.C.COLO.LCivR 30.1

#### DEPOSITION

Unless otherwise ordered by the court, reasonable notice for taking a deposition shall be not less than 14 days, as computed under Fed. R. Civ. P. 6. Before sending a notice to take a deposition, counsel or the unrepresented party seeking the deposition shall make a good faith effort to schedule it in a convenient and cost effective manner.

### **D.C.COLO.LCivR 30.2**

#### **FILING MOTION FOR PROTECTIVE ORDER, MOTION TO LIMIT EXAMINATION, OR OBJECTION TO DISCOVERY ORDER**

- (a) **Motion for Protective Order or to Limit Examination.** Pending resolution of a motion or request for relief under Fed. R. Civ. P. 26(c) or 30(d), the discovery to which the motion or request is directed shall be stayed unless otherwise ordered. A non-prevailing party may be subject to an award of expenses, fees, and costs under Fed. R. Civ. P. 37(a)(5).
- (b) **Objection to Discovery Order by Magistrate Judge.** An objection under Fed. R. Civ. P. 72(a) to an order by a magistrate judge concerning discovery does not stay the discovery to which the order is directed. A stay of the order shall be obtained by motion filed with the magistrate judge, and if denied, then with the assigned district judge.

### **D.C.COLO.LCivR 30.3**

#### **SANCTIONS FOR ABUSIVE DEPOSITION CONDUCT**

- (a) **Prohibited Conduct.** In addition to the conduct prohibited by Fed. R. Civ. P. 30(d)(3)(A), the following abusive deposition conduct is prohibited:

  - (1) making an objection or a statement that has the effect of coaching the deponent or suggesting an answer;
  - (2) interrupting examination by counsel except to determine whether to assert a privilege.
- (b) **Appointment of Master.** A judicial officer may appoint a master under Fed. R. Civ. P. 53 to regulate deposition proceedings.
- (c) **Location of Deposition.** If deposition abuse is anticipated, a judicial officer may order that a deposition be taken at the courthouse or master's office so that, at the request of any party, deponent, or counsel, a dispute may be heard and decided immediately by a judicial officer or master.
- (d) **Expenses, Costs, and Fees.** When a judicial officer determines that a party or counsel unreasonably has interrupted, delayed, or prolonged a deposition, whether by excessive questioning, objecting, or other conduct, that party or its counsel, or both, may be ordered to pay each other party's expenses, including without limitation, reasonably necessary travel, lodging, reporter's fees, attorney fees, and videotaping expenses, for that portion of the deposition determined to be excessive. In addition, that party or its counsel, or both, may be required to

pay all such costs and expenses for any additional depositions or hearings made necessary by its misconduct.

**D.C.COLO.LCivR 37.1  
DISCOVERY MOTION**

A motion under Fed. R. Civ. P. 26 or 37 directed to an interrogatory, request, or response under Fed. R. Civ. P. 33, 34, or 36 shall set forth either in the text of the motion or in an exhibit to the motion the specific interrogatory, request, or response to which the motion is directed.

**VI. TRIALS**

**D.C.COLO.LCivR 40.1  
ASSIGNMENT OF CASES**

- (a) **Assignment in General.** Except as provided in this rule and under D.C.COLO.LCivR 8.1 and Section III - AP Rules, civil actions shall be assigned to judicial officers by random draw. Work parity shall be maintained among active district judges and among full-time magistrate judges, provided that a majority of active district judges may adjust the assignment of cases to the Chief Judge as may be necessary for the performance of the duties of that office, and may, for good cause, approve special assignment or reassignment of cases among the judicial officers of the court. All other reassignments of cases shall be subject to the approval of the Chief Judge.
- (b) **Random Draw by Computer.** The clerk shall maintain a computerized program to achieve work parity among judicial officers through random and public assignment of new cases. A senior judge may decline assignment of cases and, on notice to the Chief Judge, limit participation in the random draw by a stated percentage.
- (c) **Direct Assignment to Magistrate Judges.**
  - (1) All full time magistrate judges shall be included in the assignment of civil actions under Subdivision (a), subject to the other provisions of this rule.
  - (2) The following civil actions shall not be assigned directly to a magistrate judge:
    - (a) A civil action in which a motion for injunctive relief is filed;

- (b) A civil action brought under 28 U.S.C. § 2255 if the sentencing judge is still in regular active service or is rendering substantial assistance as a senior judge;
  - (c) A civil action or proceeding brought under or related to Title 11, United States Code; and
  - (d) any other civil action excluded from direct assignment by a majority of the district judges.
- (3) The following civil actions, by way of example only, although initially assigned directly to a magistrate judge, shall be reassigned to a district judge:
  - (a) an action in which a motion for default judgment is filed;
  - (b) an action which on initial review should be dismissed or administratively closed, unless there is consent; or
  - (c) an action identified by a majority of the district judges or subject to reassignment under Subdivision (a).
- (4) On the filing of a civil action eligible for direct assignment to a magistrate judge, the clerk shall deliver to the plaintiff(s) the Consent/Non-Consent Form [HERE](#), which the plaintiff(s) shall attach to the summons and serve on the defendant(s). Failure to serve the Consent/Non-Consent Form shall not affect the validity of service of process or personal jurisdiction over a defendant. Unless otherwise ordered, each party shall complete and file the Consent/Non-Consent Form no later than (a) seven days before the scheduling conference, if any; or (b) 45 days after the filing of the first response, other than an answer, to the operative complaint, whichever is earlier. Filing of the Consent/Non-Consent Form is mandatory.
- (5) Consent to magistrate judge jurisdiction is voluntary, and no adverse consequence shall result if consent is declined.
- (6) In a civil action assigned directly to a magistrate judge, if all parties consent to magistrate judge jurisdiction, the magistrate judge shall notify the Chief Judge or his/her designee, who shall determine whether to enter an order of reference under 28 U.S.C. § 636(c).
- (7) Any party added to the civil action after reference to a magistrate judge shall be notified by the clerk of the obligation to complete and file the mandatory Consent/Non-Consent Form. If any added party does not

consent to magistrate judge jurisdiction within 21 days from the date of the notice, the civil action shall be assigned to a district judge under D.C.COLO.LCivR 40.1(a), and the magistrate judge shall continue on the case as if consent had been declined initially.

- (8) If consent to magistrate judge jurisdiction is declined by any party, no order of reference is entered or the order of reference is vacated, the civil action shall be assigned to a district judge under D.C.COLO.LCivR 40.1(a), and the magistrate judge shall continue on the case as if consent had been declined initially.
- (9) The Chief Judge or his/her designee may sua sponte for good cause or on motion of a party for extraordinary circumstances vacate the order of reference.
- (10) A case assigned directly to a magistrate judge in which there is consent may be assigned randomly to another magistrate judge to conduct an early neutral evaluation or other alternative dispute resolution proceeding under D.C.COLO.LCivR 16.6.
- (11) If after direct assignment, a magistrate judge recuses and the action is assigned to another magistrate judge, each party shall complete a Consent/Non-Consent Form no later than (a) 21 days after assignment to the successor magistrate judge; or (b) the deadline imposed in Paragraph 4, whichever is later.

**(d) Special Assignment.**

- (1) If an unrepresented party in a new case already has a case pending or had a case terminated within 12 months of the new filing, the new case shall be assigned to the judicial officers who were assigned the earlier case.
- (2) Once a bankruptcy appeal or motion to withdraw the reference has been assigned to a district judge by random draw, any case subsequently filed concerning the same debtor in bankruptcy shall be assigned to the same district judge.
- (3) On filing a civil forfeiture proceeding, the United States Attorney shall notify the clerk in writing when a potential claimant is a defendant in a pending criminal case. The civil action shall be assigned to the judicial officer to whom the criminal case is assigned.



- (4) A new case that is related under D.C.COLO.LCivR 3.2 to a pending case may be assigned to the same judicial officers:
  - (A) by reassignment on a majority vote of the active district judges under D.C.COLO.LCivR 40.1(a);
  - (B) by reassignment with approval of the Chief Judge under D.C.COLO.LCivR 40.1(a); or
  - (C) by entry of an order granting a motion to consolidate under Fed. R. Civ. P. 42(a) and D.C.COLO.LCivR 42.1.
- (5) If a case that has been remanded is removed again, the case shall be assigned to the judicial officers who were assigned the case initially.
- (6) A party may not seek a reassignment under Paragraph (d)(4) or D.C.COLO.LCivR 3.2.
- (e) **“AP” Cases.** On the filing of an AP case, the clerk will assign a case number without random selection to any district judge designated by the Chief Judge for pre-merits management under Section III of these rules.
- (f) **Recusal.** Recusal of an active judicial officer shall be by written order stating the reasons.
- (g) **Adjustments.** On recusal under this rule or D.C.COLO.LCivR 42.1, the clerk shall adjust the computerized program to maintain work parity among active district judges and among full-time magistrate judges.

#### **D.C.COLO.LCivR 40.2**

#### **TRIAL CALENDAR AND NOTICE OF SETTLEMENT OR RESOLUTION**

- (a) **Calendar.** Each judicial officer shall maintain a trial calendar that preserves the priorities required by law.
- (b) **Notice of Settlement or Resolution.** When the parties have agreed to settle or otherwise resolve a pending matter, they shall notify the court immediately.

#### **D.C.COLO.LCivR 41.1**

#### **DISMISSAL**

A judicial officer may issue an order to show cause why a case should not be dismissed for failure to prosecute or failure to comply with these rules, the Federal Rules of Civil Procedure, or a court order. If good cause is not shown, a district judge or

a magistrate judge exercising consent jurisdiction may enter an order of dismissal with or without prejudice.

**D.C.COLO.LCivR 41.2  
ADMINISTRATIVE CLOSURE**

A district judge or a magistrate judge exercising consent jurisdiction may order the clerk to close a civil action administratively subject to reopening for good cause. Administrative closure of a civil action terminates any pending motion. Reopening of a civil action does not reinstate any motion.

**D.C.COLO.LCivR 42.1  
MOTION TO CONSOLIDATE**

A motion to consolidate shall be decided by the district judge to whom the lowest numbered case included in the proposed consolidation is assigned. A motion to consolidate shall be given priority. Consolidated cases shall be reassigned to the judicial officer(s) to whom the lowest numbered consolidated case was assigned.

**D.C.COLO.LCivR 43.1  
HEARING AND TRIAL PROCEDURES**

A judicial officer presiding over a hearing or trial may establish governing procedures. Judicial practice standards are [HERE](#).

**D.C.COLO.LCivR 45.1  
SUBPOENA SERVICE**

Except as provided in D.C.COLO.LCivR 30.1 or unless otherwise ordered by the court, a subpoena shall be served no later than seven days before the date specified in the subpoena. The seven-day period shall be calculated under Fed. R. Civ. P. 6(a)(1).

**D.C.COLO.LCivR 47.2  
COMMUNICATION WITH JURORS**

A party or attorney shall not communicate with, or cause another to communicate with, a juror or prospective juror before, during, or after a trial without order of the judicial officer to whom the case is assigned.

## VII. JUDGMENT

### **D.C.COLO.LCivR 54.1 TAXATION OF COSTS**

Each judgment or final order shall indicate any party entitled to costs. Unless otherwise ordered, the clerk shall tax costs in favor of a prevailing party or parties. A bill of costs shall be filed on the form provided by the court [HERE](#) no later than 14 days after entry of the judgment or final order. After filing a bill of costs and prior to appearing before the clerk, counsel and any unrepresented party seeking costs shall file a written statement that they have conferred as to disputes regarding costs. If all disputes are resolved, a stipulation specifying costs shall be filed with the court.

### **D.C.COLO.LCivR 54.2 JURY COST ASSESSMENT**

Unless the court is notified in writing before noon on the last business day before trial that a civil action has been resolved, jury costs may be assessed against any party or counsel.

### **D.C.COLO.LCivR 54.3 ATTORNEY FEES**

- (a) **Motion Supported by Affidavit.** Unless otherwise ordered, a motion for attorney fees shall be supported by affidavit.
- (b) **Content of Motion.** The motion shall include the following for each person for whom fees are claimed:
  - (1) a summary of relevant qualifications and experience; and
  - (2) a detailed description of the services rendered, the amount of time spent, the hourly rate charged, and the total amount claimed.

### **D.C.COLO.LCivR 55.1 DEFAULT JUDGMENT FOR A SUM CERTAIN**

- (a) **Required Showing.** To obtain a default judgment under Fed. R. Civ. P. 55(b)(1), a party shall show by motion supported by affidavit:
  - (1) that the defendant who has been defaulted:
    - (A) is not a minor or an incompetent person;

- (B) is not in the military service, as set forth in the Servicemembers Civil Relief Act, 50 App. U.S.C. § 521, Protection of Servicemembers Against Default Judgments;
  - (C) has not made an appearance; and
- (2) the sum certain or the sum that can be made certain by computation.
- (b) Form of Judgment.** The moving party shall submit a proposed form of judgment that recites:
  - (1) the party or parties in favor of whom judgment shall be entered;
  - (2) the party or parties against whom judgment shall be entered;
  - (3) when there are multiple parties against whom judgment is entered, whether the judgment is entered jointly, severally, or jointly and severally;
  - (4) the sum certain consisting of the principal amount, prejudgment interest, and the rate of postjudgment interest; and
  - (5) the sum certain of attorney fees enumerated in the document on which the judgment is based.

**D.C.COLO.LCivR 56.1  
MOTION FOR SUMMARY JUDGMENT**

- (a) Motion.** A motion under Fed. R. Civ. P. 56 for summary judgment or partial summary judgment shall include a statement of undisputed facts, argument, and legal authority incorporated into the motion in lieu of a separate opening brief. Unless otherwise ordered, a response shall be filed no later than 21 days after the date of service of the motion, and a reply may be filed no later than 14 days after the date of service of the response.
- (b) Cross Motion.** A cross motion for summary judgment shall be filed as a separate motion subject to Subdivision (a).
- (c) Exhibits to Motion or Briefs.** Voluminous exhibits are discouraged. Parties shall limit exhibits to essential portions of documents. Unless otherwise ordered, copies of documents attached as exhibits to a motion shall not be attached as exhibits to a response, and copies of documents attached as exhibits to a response shall not be attached as exhibits to a reply. Any additional exhibit shall be attached to the corresponding response or reply and consecutively numbered or lettered.

## VIII. PROVISIONAL AND FINAL REMEDIES

### D.C.COLO.LCivR 65.1

#### TEMPORARY RESTRAINING ORDER

- (a) **Motion.** A temporary restraining order shall be requested by motion filed separately from the complaint. The motion shall be accompanied by a certificate of counsel or an unrepresented party, stating:
- (1) that actual notice of the time of filing the motion, and copies of all pleadings and documents filed in the action to date or to be presented to the court at the hearing, have been provided to opposing counsel and any unrepresented adverse party; or
  - (2) the efforts made by the moving party to provide the required notice and documents. Except as provided by Fed. R. Civ. P. 65(b)(1), the court shall not consider an ex parte motion for temporary restraining order.
- (b) **Proposed Order.** A proposed temporary restraining order shall be submitted with a motion for temporary restraining order.

### D.C.COLO.LCivR 67.1

#### BONDS AND OTHER SURETIES

- (a) **Prohibition.** A party (individual or entity), a spouse of a party, or an attorney for a party in a civil action, shall not serve as a personal surety on any bond in that civil action.
- (b) **Surety Company; Power of Attorney.** If the surety on a bond is a surety company approved by the United States Department of the Treasury, a power of attorney evidencing the authority of the agent signing the bond shall be filed with the clerk.

### D.C.COLO.LCivR 67.2

#### COURT REGISTRY

- (a) **Deposit of Funds in Court Registry.** Unless a statute requires otherwise, funds shall be tendered to the court or its officers for deposit into the registry only under court order. A depositor shall identify in writing the order authorizing deposit by reference to the relevant docket entry in CM/ECF.
- (b) **Investment of Funds in Registry.** Unless otherwise ordered, all funds deposited into the registry shall be deposited in an interest bearing account through the Court Registry Investment System (CRIS).

- (c) **Registry Fee.** Registry fees shall be deducted under 28 U.S.C. § 1914 and any regulation promulgated thereunder.
- (d) **Disbursement of Funds in Registry.** Funds in the registry shall be disbursed only by court order. A proposed order to disburse funds shall include the payee's full name and complete address and the amount to be disbursed. If more than \$10.00 of interest is to be disbursed, the proposed order shall be accompanied by a completed IRS Form W-9 (which shall be filed under restricted access). The party requesting disbursement of funds shall provide to the clerk a copy of the order authorizing disbursement including its CM/ECF docket number.

## IX. SPECIAL PROCEEDINGS

### D.C.COLO.LCivR 72.1

#### GENERAL AUTHORITY AND DUTIES OF A MAGISTRATE JUDGE

- (a) **General Authority.** Except as restricted by these rules, a magistrate judge may exercise all powers and duties authorized by federal statutes, regulations, and the Federal Rules of Civil Procedure.
- (b) **Duties.** A magistrate judge may:
  - (1) issue administrative inspection warrants;
  - (2) issue civil seizure warrants under 21 U.S.C. § 881 and 18 U.S.C. § 981-983;
  - (3) issue search and seizure warrants for levy under the Internal Revenue Code;
  - (4) act on post judgment matters arising under Fed. R. Civ. P. 69, including the authority to
    - (A) issue writs;
    - (B) issue orders directing funds to be paid into or disbursed from the registry of the court;
    - (C) hold hearings and make recommendations to the district judge on substantive issues including the liability of a party under a writ of garnishment or execution; and

- (D) perform duties specified in chapter 176 of Title 28 United States Code, as assigned by the court under the Federal Debt Collection Procedures Act, 28 U.S.C. § 3008;
  - (5) make determinations and enter appropriate orders under 28 U.S.C. § 1915, except to enter an order denying a request to proceed in forma pauperis;
  - (6) perform duties set forth in D.C.COLO.LCivR 8.1;
  - (7) make determinations and enter appropriate orders on discovery disputes in cases pending in other federal courts or courts of another country;
  - (8) exercise contempt authority as authorized by law;
  - (9) issue administrative subpoenas as authorized by law; and
  - (10) appoint masters under Fed. R. Civ. P. 53.
- (c) **Other Duties.** On reference or order by a district judge, a magistrate judge may:
- (1) conduct pretrial conferences, post-trial proceedings, early neutral evaluations, settlement conferences, other alternative dispute resolution proceedings, and other nondispositive pretrial proceedings;
  - (2) act on petitions to perpetuate testimony under Fed. R. Civ. P. 27; and
  - (3) hold hearings and make recommendations to the district judge on dispositive matters.

#### **D.C.COLO.LCivR 72.2**

#### **CONSENT JURISDICTION OF A MAGISTRATE JUDGE**

- (a) **Designation.** Under 28 U.S.C. § 636(c)(1) and subject to this rule, a full-time magistrate judge is designated specially to conduct any or all proceedings in any jury or nonjury civil action and order the entry of judgment in the case.
- (b) **Prohibition.** No judicial officer, court official, or court employee may attempt to influence the granting or withholding of consent to the reference of any civil matter to a magistrate judge. The form of notice of the right to consent to disposition by a magistrate judge shall make reference to the prohibition and shall identify the rights being waived.

- (c) **Notice.** On the filing of any civil action, the clerk shall deliver to the plaintiff(s) written notice of the right of the parties to consent to disposition of the civil action by a magistrate judge under 28 U.S.C. § 636(c) and the provisions of this rule. A copy of the notice shall be attached to the summons and served on the defendant(s). A failure to serve a copy of such notice on a defendant shall not affect the validity of the service of process or personal jurisdiction over the defendant(s).
- (d) **Unanimous Consent; Determination.** To consent to the jurisdiction of a magistrate judge under 28 U.S.C. § 636(c), all parties shall complete and file a Consent to the Exercise of Jurisdiction by a United States Magistrate Judge form [HERE](#). Unless otherwise ordered by the assigned district judge, written consent to proceed before a magistrate judge must be filed no later than (1) seven days before the scheduling conference, if any; or (2) 45 days after the filing of the first response, other than an answer, to the operative complaint, whichever is earlier. When there is such consent, the magistrate judge shall forthwith notify the assigned district judge, who will then determine whether to enter an order of reference under 28 U.S.C. § 636(c).
- (e) **Assignment.** On entry of an order of reference under 28 U.S.C. § 636(c), the civil action shall be assigned to the magistrate judge currently assigned to the case.
- (f) **Additional Parties.** Any party added to the action or served after reference to a magistrate judge under this rule shall be notified by the clerk of the right to consent to the exercise of jurisdiction by the magistrate judge under 28 U.S.C. § 636(c). If an added party does not file a consent to proceed before the magistrate judge within 21 days from the date of mailing of the notice, the action shall be returned to the assigned district judge for further proceedings.
- (g) **Vacating Reference.** A reference of a civil matter to a magistrate judge may be vacated under 28 U.S.C. § 636(c)(4).

### **D.C.COLO.LCivR 72.3**

#### **REFERENCE OF A DISPOSITIVE MOTION TO A MAGISTRATE JUDGE**

- (a) **Designation.** A full-time magistrate judge is designated specially to make final determination of a dispositive motion with the unanimous consent of the parties and approval of the assigned district judge. Dispositive motions include motions to amend, to dismiss, for transfer or for change of venue, to remand, for summary judgment, and for partial summary judgment.



- (b) **Consent on Notice.** The parties may consent to the final determination of a dispositive motion by the assigned magistrate judge by filing a notice of consent. The district judge may enter an order approving the notice.
- (c) **Prohibition.** No judicial officer, court official, or court employee may attempt to influence the granting or withholding of consent to the reference of a dispositive motion to a magistrate judge.
- (d) **Reference.** On entry of an order of reference of a dispositive motion under 28 U.S.C. § 636(c), the motion shall be referred to the magistrate judge currently assigned to the case.
- (e) **Vacating Reference.** A reference of a dispositive motion to a magistrate judge may be vacated for good cause.
- (f) **Appeal.** If a magistrate judge grants a dispositive motion and directs the entry of final judgment, an appeal shall be to the United States Court of Appeals for the Tenth Circuit in the same manner as an appeal from any other judgment of this court.

## **X. DISTRICT COURT AND CLERK**

### **D.C.COLO.LCivR 77.1 TIME AND PLACE OF FILING**

Unless otherwise ordered, an electronically filed pleading or document shall be filed no later than 11:59:59 p.m. (Mountain Time) on the day required. Unless otherwise ordered, all other pleadings and documents shall be filed during the business hours of the office of the clerk from 8:00 a.m. to 5:00 p.m. (Mountain Time) Monday through Friday.

### **D.C.COLO.LCivR 77.2 COMMUNICATION WITH A JUDICIAL OFFICER**

Unless otherwise ordered, a party to or an attorney in a proceeding shall not communicate directly about the proceeding in any manner with a judicial officer assigned to the proceeding.

### **D.C.COLO.LCivR 79.1 CUSTODY OF PLEADINGS, DOCUMENTS, AND EXHIBITS**

Unless otherwise ordered, pleadings, documents, and exhibits in a court file or submitted to a judicial officer shall not be removed from the office or custody of the clerk or judicial officer.

**D.C.COLO.LCivR 79.2**  
**INSPECTION OF PHYSICAL EVIDENCE**

While in the custody of the clerk, physical evidence shall not be inspected or copied except in the presence of and under the supervision of the clerk. The clerk may limit or prohibit inspection or copying to preserve the evidence.

**XI. GENERAL PROVISIONS**

**D.C.COLO.LCivR 81.1**  
**PROCEDURE FOR REMOVAL**

- (a) **Notice of Removal.** A notice of removal shall comply with 28 U.S.C. § 1446(a).
- (b) **Filing Requirements.** No later than 14 days after the filing of the notice of removal, the removing party shall file a current docket sheet (register of actions) and shall separately file each pending motion, petition, and related response, reply, and brief.
- (c) **Notification Requirements.** If a hearing in the state court has been set before a case is removed, counsel or the unrepresented party removing the case shall notify the state judge forthwith of the removal and shall notify the federal judge to whom the case is assigned of the nature, time, and place of the state court hearing.

**D.C.COLO.LCivR 83.1**  
**CAMERAS AND RECORDING DEVICES**

- (a) **Cameras Prohibited.** Unless authorized by a judicial officer, a device whose exclusive or principal use is as a camera or video recorder may not be brought into or used in a United States Courthouse or any location in which court business and proceedings are conducted.
- (b) **Permissible Devices.** After clearing security, an electronic device, including, but not limited to, a cellular telephone, a smartphone, a laptop computer, an electronic tablet, or a personal data assistant (PDA), regardless of the technology used or the name by which the device is marketed, may be brought into any public area in the United States Courthouse or any location in which court business and proceedings are conducted.
- (c) **Impermissible Uses of Permissible Devices.** A person shall not use a permissible device defined in Subdivision (b) to take photographs or to make audio or video recordings in any public area in the United States Courthouse or

any other location in which court business and proceedings are conducted. A person shall not use a permissible device defined in Subdivision (b) to take photographs or to make audio or video recordings in any courtroom or chambers except as authorized by the judicial officer having direct control of that space.

**(d) Sanctions for Violations.** Violation of this rule may constitute contempt of court punishable by incarceration and the imposition of fines, costs, and attorney fees. The court authorizes the following agencies and those under contract employment of such agencies to maintain the security of district court spaces:

- (1) United States Marshals Service;
- (2) United States Department of Homeland Security Federal Protective Service; and
- (3) United States General Services Administration.

The authority to maintain security through the enforcement of this rule may involve taking possession of the device, searching the device and its contents, and preserving, copying, transferring, or deleting unauthorized video or audio recordings or photographs of court operations, proceedings, or facility space from electronic devices with or without the cooperation of a person found to be in possession of the device.

A judicial officer may take action to enforce this rule or to sanction a violator.

## **D.C.COLO.LCivR 83.2 SECURITY**

**(a) Procedures.** All persons entering a building where court is being held shall be subject to security procedures.

All briefcases, purses, parcels, bags, backpacks, and other items shall be passed through X-ray scanners and shall be subject to search. This rule shall apply at such other places as a judicial officer may direct.

Violation of this rule shall be grounds for refusing admission to the building where court is being held and may subject the offender to detention, arrest, and prosecution as provided by law or to a contempt proceeding.

**(b) Identification or Information.** On request of a United States marshal, court security officer, federal protective service officer, or court official, anyone within or seeking entry to any court building shall produce identification and state the

nature of his or her business. Failure to provide identification or information shall be grounds for removal or exclusion from the building.

**D.C.COLO.LCivR 83.3**

**ACCOMMODATION UNDER AMERICANS WITH DISABILITIES ACT**

No later than seven days before a hearing or trial, counsel or an unrepresented party shall notify the court of an accommodation required under the Americans with Disabilities Act.

**D.C.COLO.LCivR 84.1**

**BANKRUPTCY MATTERS**

- (a) Automatic Referral.** A case or proceeding brought under or related to Title 11, United States Code, shall be referred automatically to the bankruptcy judges of this district under 28 U.S.C. § 157. All pleadings and documents in those cases shall be filed directly in the bankruptcy court, and the bankruptcy judges of this district shall exercise jurisdiction under 28 U.S.C. § 157(b).
- (b) Final Order in a Core Matter.**

  - (1) In a proceeding referred under this rule and determined to be a core matter, if a bankruptcy or district judge determines that entry of a final order or judgment by a bankruptcy judge would be inconsistent with Article III of the United States Constitution, the bankruptcy judge, unless otherwise ordered by the district judge, shall hear the proceeding and submit proposed findings of fact and conclusions of law to the district judge.
  - (2) A final order or judgment of the bankruptcy judge may be treated by the district judge as proposed findings of fact and conclusions of law when the district judge concludes that the bankruptcy judge could not have entered a final order or judgment consistent with Article III.
- (c) Suspension or Abstention.** Nothing in this rule precludes the bankruptcy judge from suspending or abstaining from proceedings as appropriate under 11 U.S.C. § 305, 28 U.S.C. § 1334(c), or other applicable law.
- (d) Withdrawal of Reference.** The automatic referral in Subdivision (a) may be withdrawn by the assigned district judge.

  - (1) **Motion.** A motion for withdrawal of reference shall be filed with the clerk of the bankruptcy court under Bankruptcy Rule 5011 and Local Bankruptcy Rule 5011-1.

- (2) **Response.** No later than 14 days after service of the motion, a party may file in the bankruptcy court a response and a designation of any additional portion of the record necessary for determination of the motion.
  - (3) **Supplementation of Record.** The record may be supplemented by order of the bankruptcy judge.
  - (4) **Referral to District Court.** The bankruptcy judge shall refer the motion to the district court.
  - (5) **Assignment.** The motion shall be assigned to a district judge under D.C.COLO.LCivR 40.1.
- (e) **Non-core Matter.** When a bankruptcy judge hears a proceeding under 28 U.S.C. § 157(c)(1) that is not a “core proceeding” as defined by 28 U.S.C. § 157(b)(2), the bankruptcy judge shall submit the proposed findings of fact and conclusions of law to the district judge assigned under D.C.COLO.LCivR 40.1. Copies of those recommendations shall be mailed by the bankruptcy judge to all parties, who shall have 14 days after the date of mailing of the recommendations (or such further time not to exceed 30 days as the bankruptcy judge may order) to file written objections. Objections lacking specificity as to factual findings or legal conclusions the objecting party claims to have been erroneously made and objections not timely filed may be summarily overruled. If no objection is filed, or if the parties consent in writing, the recommendations of the bankruptcy judge may be accepted by the district judge, and appropriate orders may be entered without further notice. The procedure for determining objections shall be as set forth in 28 U.S.C. § 157(c)(1).
- (f) **Filings.** The clerk of the bankruptcy court shall receive all pleadings in bankruptcy cases and related proceedings. Bankruptcy pleadings and documents shall be filed with the bankruptcy court under the Federal Rules of Bankruptcy Procedure and Local Bankruptcy Rules for the District of Colorado. Any bankruptcy pleadings and documents filed with the clerk of the district court shall be transferred to the bankruptcy court.
- (g) **Postjudgment Matters.** The bankruptcy judges shall exercise jurisdiction over all postjudgment execution matters arising from a judgment or order entered by bankruptcy judges.

## **SECTION II - CRIMINAL RULES UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO**

### **I. SCOPE, PURPOSE, AND CONSTRUCTION**

#### **D.C.COLO.LCrR 1.1 SCOPE OF THE LOCAL CRIMINAL RULES**

- (a) **Title and Citation.** These rules shall be known as the Local Rules of Practice of the United States District Court for the District of Colorado - Criminal. These rules shall be cited as D.C.COLO.LCrR Rule, Subdivision, Paragraph, Subparagraph, Item (e.g., D.C.COLO.LCrR 47.1(f)(1)(A)).
- (b) **Effective Date.** Unless otherwise stated, these rules are effective as of December 1 of each year.
- (c) **Scope.** These rules apply in all criminal actions, cases, and proceedings (criminal cases) in the United States District Court for the District of Colorado.
- (d) **Numbering and Indexing.** These rules are numbered and indexed insofar as practicable in accordance with the specific designations of the Judicial Conference Uniform Numbering System.
- (e) **Judicial Officer.** Judicial officer refers to a district judge, magistrate judge, or circuit judge sitting by assignment.
- (f) **Clerk.** Reference in these rules to the clerk refers to the clerk of the court or a deputy clerk.
- (g) **CM/ECF.** CM/ECF is the Case Management/Electronic Case Filing system of the court.
- (h) **Pilot Programs or Special Projects.** A pilot program or special project may be authorized by the court following reasonable public notice and opportunity for public comment.

  - (1) Public notice shall specify:

    - (A) the purpose of the pilot program or special project;
    - (B) the term of the pilot program or special project;

- (C) the effect upon any local rule of practice; and
  - (D) any requirement necessary to implement or facilitate the pilot program or special project.
- (2) The term for a pilot program or special project shall not exceed 18 months, but may be extended for one six-month period in conjunction with the promulgation of a corresponding local rule.

**D.C.COLO.LCrR 1.2  
FORMS**

Court approved forms are on the court's website [HERE](#). A form may be modified by the court or a judicial officer at any time. A form modified by a judicial officer may be under the judicial officer's practice standards on the court's website.

**II. PRELIMINARY PROCEEDINGS**

**[No Local Rules]**

**III. INDICTMENT AND INFORMATION**

**D.C.COLO.LCrR 6.1  
GRAND JURY**

Grand jury supervision shall be assigned equally among the active district judges.

**D.C.COLO.LCrR 7.1  
PENALTY SHEET**

A penalty sheet shall be provided by an attorney for the government to the clerk on the filing of a criminal case.

**IV. ARRAIGNMENT AND PREPARATION FOR TRIAL**

**D.C.COLO.LCrR 11.1  
PLEAS**

- (a) **Written Notice.** Unless otherwise ordered, notice of disposition shall be filed no later than 14 days before trial.

**(b) Pleas in a Felony Case.** In a felony case, a plea of guilty or nolo contendere shall be made before the assigned district judge; however, in a felony case designated as a Durango or Grand Junction case, with the express written consent of the government and defendant (using the form found [HERE](#)) and on referral for recommendation by the assigned district judge, the resident magistrate judge in Durango and Grand Junction, Colorado, may accept a plea of guilty or nolo contendere and conduct a corresponding advisement under Fed. R. Crim. P. 11.

**(c) Plea Agreement.** A Plea Agreement shall be in the form [HERE](#) and signed by an attorney for the government, the defendant's counsel, if any, and the defendant.

The stipulation of facts relevant to sentencing in the Plea Agreement shall be included in the presentence report. An attorney for the government shall provide a copy of the Plea Agreement to the chambers of the assigned judicial officer and the Probation Office no later than 48 hours before the change of plea hearing.

**(d) Statement by Defendant in Advance of Plea of Guilty.** A Statement by Defendant in Advance of Plea of Guilty shall be in the form [HERE](#), and signed by the defendant's counsel, if any, and the defendant.

**(e) Documents Tendered to Courtroom Deputy.** No later than the commencement of the change of plea hearing, the following documents shall be tendered to the courtroom deputy:

- (1) the original and a copy of the Plea Agreement and Statement by Defendant in Advance of Plea of Guilty; and
- (2) if applicable, the original and a copy of the written translation of the Plea Agreement and Statement by Defendant in Advance of Plea of Guilty.

#### **D.C.COLO.LCrR 12.1 MOTION TO JOIN MOTION PROHIBITED**

- (a) A party may not file a motion to join a motion filed by another party.
- (b) The government and each defendant shall file its, his, or her own motions. A motion shall request specific relief and include a title that identifies the relief requested. In a motion, the party may indicate that the party approves, adopts, and/or incorporates by reference any or all of the reasons stated, arguments advanced, and/or authorities cited by a party in another motion. The party shall identify the related motion of another party by providing the following information:
  - (1) the name of the other party;



- (2) the precise title of the related motion of the other party;
- (3) the document number of the related motion in CM/ECF; and
- (4) the date the related motion was filed.

**D.C.COLO.LCrR 17.1.1  
PRETRIAL CONFERENCE**

A magistrate judge shall enter a Discovery Conference Memorandum and Order at the time of or no later than 14 days after the arraignment, and direct counsel to obtain from the district judge assigned to the case deadlines for filing pretrial motions and a trial date.

**V. VENUE**

**[No Local Rules]**

**VI. TRIAL**

**D.C.COLO.LCrR 24.1  
COMMUNICATION WITH JURORS**

A party or attorney shall not communicate with, or cause another to communicate with, a juror or prospective juror before, during, or after a trial without order of the judicial officer to whom the case is assigned.

**VII. JUDGMENT**

**D.C.COLO.LCrR 32.1  
SENTENCING DOCUMENTS**

**(a) Sentencing Statements.**

- (1) No later than 30 days after a verdict of guilty is returned by a jury or the court, the attorney for the government shall file a sentencing statement that analyzes the sentencing factors to be considered at sentencing.
- (2) No later than 14 days after the government files its sentencing statement, a defendant may file a sentencing statement that analyzes the sentencing factors to be considered at sentencing.

- (b) **Objections to Presentence Report.** Objections to a presentence report shall not be included in or combined with a motion for a departure or variance.
- (c) **Motions for Departure or Variance.** A motion for departure or variance shall be filed no later than 14 days before sentencing. A response may be filed no later than seven days before sentencing.
- (d) **Restricted Access.** Unless otherwise ordered, a motion for a departure or variance shall not be filed as a restricted document.
- (e) **Sentencing-Related Documents.** Unless otherwise ordered correspondence or other documents related to sentencing, including letters, reports, certificates, awards, photographs, or other documents pertaining to the defendant, shall be provided to the probation office no later than 10 days before sentencing and shall be filed no later than seven days before sentencing by a probation officer and are entitled to Level 2 restriction under D.C.COLO.LCrR 47.1(f)(1)(A).
- (f) **Disclosure of Probation Reports.**
  - (1) Records and reports, including presentence reports and supervised release violation reports, maintained by the Probation Office are confidential and not available for public inspection.
  - (2) The Chief Probation Officer may authorize inspection, disclosure, and production of records and reports to other federal courts, federal correctional or law enforcement agencies, treatment providers, or state authorities who have a legal, investigative, or custodial interest.
  - (3) A person or entity other than defined in Paragraph (2) who seeks access to confidential records or reports maintained by the Probation Office shall file a written request with the Chief Probation Officer that conforms to the requirements [HERE](#). After consulting with the relevant judicial officer, the Chief Probation Officer may grant the request in whole or in part.

## VIII. APPEAL

[No Local Rules]

## IX. SUPPLEMENTARY AND SPECIAL PROCEEDINGS

[No Local Rules]

## X. GENERAL PROVISIONS

### D.C.COLO.LCrR 46.1 COURT REGISTRY

- (a) **Deposit of Funds in Court Registry.** Unless a statute requires otherwise, funds shall be tendered to the court or its officers for deposit into the registry only pursuant to court order. A depositor shall identify in writing the order authorizing deposit by reference to the relevant docket entry in CM/ECF.
- (b) **Investment of Funds in Registry.** Unless otherwise ordered, no deposit into an interest bearing account shall be permitted and the Court Registry Investment System (CRIS) shall be the authorized investment mechanism.
- (c) **Registry Fee.** Registry fees shall be deducted under 28 U.S.C. § 1914 and any regulation promulgated thereunder.
- (d) **Disbursement of Funds in Registry.** Funds in the registry shall be disbursed only by court order. A proposed order to disburse funds shall include the payee's full name and complete address and the amount to be disbursed. If more than \$10.00 of interest is to be disbursed, the proposed order shall be accompanied by a completed IRS Form W-9 (which shall be filed under restricted access). The party requesting disbursement of funds shall provide to the clerk a copy of the order authorizing disbursement including its CM/ECF docket number.

### D.C.COLO.LCrR 47.1 PUBLIC ACCESS TO CASES, DOCUMENTS, AND PROCEEDINGS

- (a) **Policy.** Unless restricted by statute, rule of criminal procedure or order, the public shall have access to all cases and documents filed with the court and all court proceedings.
- (b) **Levels of Restriction.** There are four levels of restriction. Level 1 limits access to the parties and the court. Level 2 limits access to the filing party, the affected defendant(s), the government, and the court. Level 3 limits access to the filing party and the court. Level 4 limits access to the court.
- (c) **Motion to Restrict.** Unless otherwise ordered, a motion to restrict public access shall be open to public inspection. The motion shall identify the case, the document, or the proceeding for which restriction is sought. The motion shall be accompanied by a brief that is filed as a restricted document. The brief must
  - (1) identify the case, document, or the proceeding for which restriction is sought;

- (2) address the interest to be protected and why such interest outweighs the presumption of public access (stipulations between the parties or stipulated protective orders with regard to discovery, alone, are insufficient to justify restriction);
  - (3) identify a clearly defined and serious injury that would result if access is not restricted;
  - (4) explain why no alternative to restriction is practicable or why only restriction will adequately protect the interest in question (e.g., redaction, summarization, restricted access to exhibits or portions of exhibits); and
  - (5) identify the level of restriction sought.
- (d) **Public Notice of Motions to Restrict; Objections.** Notice of the filing of such motion shall be posted on the court’s website on the court business day following the filing of the motion. Any person may file an objection to the motion to restrict no later than three court business days after posting. Absent exigent circumstances, no ruling on a motion to restrict shall be made until the time for objection has passed. The absence of objection alone shall not result in the granting of the motion.
- (e) **Filing Restricted Documents.** A document subject to a motion to restrict shall be filed as a restricted document, and shall be subject to restriction until the motion is determined by the court. If a document is filed as a restricted document without an accompanying motion to restrict, it shall retain a Level 1 restriction for 14 days. If no motion to restrict is filed within such time period, the access restriction shall expire and the document shall be open to public inspection.
- (f) **Documents Subject to Presumptive Restriction.** The following documents shall be filed subject to the specified presumptive restriction levels without the order of a judicial officer:
- (1) **Documents that shall be filed with Level 2 restriction (access limited to the filing party, the affected defendant(s), the government, and the court):**
    - (A) presentence reports and addenda and related documents, including correspondence or other documents related to sentencing, including letters, reports, certificates, awards, photographs, or other documents pertaining to the defendant;
    - (B) probation or supervised release violation reports; and

(C) statements of reasons in judgments in criminal cases.

**(2) Documents that shall be filed with Level 3 restriction (access limited to the filing party and the court):**

(A) Unexecuted bond revocation orders and supporting documents. Unless otherwise ordered, this restriction shall expire on the execution of the order.

(B) Applications, motions, and orders under the Criminal Justice Act. Unless otherwise ordered, this restriction shall expire on the entry of final judgment.

(C) Indictments. Unless otherwise ordered, this restriction shall expire on the earlier of the arrest or initial appearance of the first or only defendant.

**(3) Documents that shall be filed with Level 4 restriction (access limited to the court):**

(A) Pretrial services reports (bail reports).

(B) Petitions for summonses or arrest warrants based upon petitions for revocation of probation or supervised release. Unless otherwise ordered, this restriction shall expire on the service of the summons or execution of the warrant.

**(g) Cases Subject to Presumptive Restriction.** A case (including the docket sheet, case number and caption) initiated by any of the following documents shall be filed under Level 4 restriction:

(1) Unexecuted summonses and warrants of any kind and supporting documents. Unless otherwise ordered, this restriction shall expire on the execution of the summonses or warrants.

(2) Pen register and trap/trace orders and supporting documents. This restriction shall remain in effect unless otherwise ordered.

(3) Orders and supporting documents under 18 U.S.C. § 2703(d). Unless otherwise ordered, this restriction shall expire after 90 days.

(4) Title III and clone pager orders and supporting documents. This restriction shall remain in effect unless otherwise ordered.

- (5) Grand Jury material and other documents with restricted access pursuant to statute. This restriction shall remain in effect unless otherwise ordered.

**D.C.COLO.LCrR 49.1**

**FORMATTING, SIGNATURES, FILING, AND SERVING PLEADINGS AND DOCUMENTS**

- (a) **Electronic Formatting, Signatures, and Filing.** Unless otherwise provided in this rule or otherwise ordered, each pleading and document filed in a criminal case shall be formatted, signed, and filed electronically in CM/ECF as prescribed by the Electronic Case Filing Procedures [HERE](#).
- (b) **Exceptions to Electronic Formatting and Filing.**
- (1) **Materials that Cannot Be Converted to Electronic Form.** An item such as a videotape, audiotape, etc. shall be filed by delivery to the clerk's office.
- (2) **Pleadings and Documents by Unrepresented Prisoners.** These shall be filed in paper.
- (3) **Pleadings and Documents by Other Unrepresented Parties.** Unless otherwise ordered, these shall be filed in paper.
- (4) **E-mailed Documents.** The Electronic Case Filing Procedures specify the documents that shall be e-mailed to the court to open a case [HERE](#).
- (c) **Formatting and Filing of Pleadings and Documents and Maintenance of Contact Information by an Unrepresented Prisoner or Party.** If not filed electronically, an unrepresented prisoner or party shall use the procedures, forms, and instructions posted on the court's website [HERE](#). If the unrepresented party is a prisoner and is unable to access the website, on request the clerk shall provide copies of the necessary procedures, forms, and instructions. Notice of change of name, mailing address, or telephone number of an unrepresented prisoner or party shall be filed not later than five days after the change. A user of CM/ECF shall keep his/her primary and alternative e-mail address current. Instructions for a user to update and maintain his/her CM/ECF account are [HERE](#).
- (d) **Electronic Service.** When a pleading or document is filed in CM/ECF, it is served electronically under Fed. R. Crim. P. 45. The time to respond or reply shall be calculated from the date of electronic service, regardless of whether other means of service are used. The Notice of Electronic Filing (NEF) generated

by CM/ECF constitutes a certificate of service. Registration in CM/ECF shall constitute consent to electronic service of all pleadings or documents.

### **D.C.COLO.LCrR 49.3**

#### **FORMAT OF PLEADINGS AND DOCUMENTS PRESENTED FOR FILING**

- (a) **Scope.** This rule applies to all pleadings and documents presented for filing.
- (b) **Size.** All pleadings and documents shall be on 8½ by 11 inch white paper.
- (c) **Margins.** Margins shall be 1½ inches at the top and 1 inch at the left, right, and bottom of each page.
- (d) **Font.** Unless otherwise ordered, all typewritten pleadings and documents shall use black ink and not less than 12 point font.
- (e) **Spacing.** All pleadings and documents shall be double spaced.
- (f) **Text.** Text shall be printed on one side of the page only.
- (g) **Legibility.** All handwritten pleadings and documents shall be legible, utilizing upper and lower case lettering.

### **D.C.COLO.LCrR 50.1**

#### **ASSIGNMENT OF CASES**

- (a) **Assignment in General.** Except as provided in this rule, criminal cases shall be assigned to judicial officers by random draw. Work parity shall be maintained among active district judges, provided that a majority of active district judges may adjust the assignment of criminal cases to the Chief Judge as may be necessary for the performance of the duties of that office, and may, for good cause, approve special assignment or reassignment of criminal cases among the judicial officers of the court. All other reassignments of cases shall be subject to the approval of the Chief Judge.
- (b) **Random Draw by Computer.** The clerk shall maintain a computerized program to achieve work parity among judicial officers through random and public assignment of new criminal cases. A senior judge may decline assignment of criminal cases and, on notice to the Chief Judge, limit participation in the random draw by a stated percentage.

**(c) Special Assignments.**

- (1) On filing a new criminal case, the United States Attorney shall notify the clerk in writing when that defendant is involved in a pending civil forfeiture proceeding. The criminal case shall be assigned to the judicial officer to whom the civil case was assigned.
- (2) On filing a new criminal case, including a new case filed under 18 U.S.C. § 3605, or a criminal case transferred to the court under Fed. R. Crim. P. 20, the United States Attorney shall notify the clerk in writing when the defendant is currently serving, or has served, a sentence of probation or supervised release. The new criminal case shall be assigned to the judicial officer presiding in the criminal case in which the previous sentence of probation or supervised release was imposed. In the event the defendant has had multiple criminal cases before this court, the new criminal case shall be reassigned to the judicial officer who handled the oldest criminal case.
- (3) A majority of the district judges may provide for the assignment of criminal cases which may be heard outside Denver, Colorado, in another location where court may be held under 28 U.S.C. § 85.

**(d) Recusal.** Recusal of an active judicial officer shall be by written order stating the reasons.

**(e) Adjustments.** On recusal the clerk shall adjust the computerized program to maintain work parity among active district judges.

**D.C.COLO.LCrR 53.1  
HEARING AND TRIAL PROCEDURES**

A judicial officer presiding over a hearing or trial may establish governing procedures. Judicial practice standards are [HERE](#).

**D.C.COLO.LCrR 55.1  
CUSTODY OF PLEADINGS, DOCUMENTS, AND EXHIBITS**

Unless otherwise ordered, pleadings, documents, and exhibits in a court file or submitted to a judicial officer shall not be removed from the office or custody of the clerk or judicial officer.



**D.C.COLO.LCrR 55.2  
INSPECTION OF PHYSICAL EVIDENCE**

While in the custody of the clerk, physical evidence shall not be inspected or copied except in the presence of and under the supervision of the clerk. The clerk may limit or prohibit inspection or copying to preserve the evidence.

**D.C.COLO.LCrR 56.1  
TIME AND PLACE OF FILING**

Unless otherwise ordered, an electronically filed pleading or document shall be filed no later than 11:59:59 p.m. (Mountain Time) on the day required. Unless otherwise ordered, all other pleadings and documents shall be filed during the business hours of the office of the clerk from 8:00 a.m. to 5:00 p.m. (Mountain Time) Monday through Friday.

**D.C.COLO.LCrR 57.1  
GENERAL AUTHORITY AND DUTIES OF A MAGISTRATE JUDGE**

- (a) **General Authority.** Except as restricted by these rules, a magistrate judge may exercise all powers and duties authorized by federal statutes, regulations, and the Federal Rules of Criminal Procedure.
- (b) **Duties.** A magistrate judge may:
  - (1) authorize the installation and use of a pen register or a trap and trace device under 18 U.S.C. §§ 3122-23, and direct the furnishing of information, facilities, and technical assistance necessary to accomplish the installation of the pen register or trap and trace device;
  - (2) issue search warrants;
  - (3) accept criminal complaints and issue arrest warrants or summonses;
  - (4) accept waivers of indictment under Fed. R. Crim. P. 7(b);
  - (5) receive the return of indictments by the grand jury and issue arrest warrants or summonses when necessary for the defendants named in the indictments;
  - (6) restrict and grant access to an indictment;
  - (7) conduct preliminary proceedings incident to transfer cases under Fed. R. Crim. P. 20;

- (8) exercise powers and duties necessary to extradite fugitives under 18 U.S.C. §§ 3181-96;
- (9) conduct hearings and issue orders under the Bail Reform Act of 1984, 18 U.S.C. §§ 3141-56;
- (10) forfeit bond when a defendant fails to appear in proceedings scheduled before the magistrate judge;
- (11) set bond for material witnesses;
- (12) conduct arraignments by taking and entering pleas and when necessary, make findings affecting speedy trial considerations under the Speedy Trial Act of 1974, 18 U.S.C. §§ 3161-74;
- (13) direct the United States Marshal to arrange for payment of expenses for basic transportation and subsistence for a defendant financially unable to bear the costs of travel to required court appearances;
- (14) issue subpoenas and writs of habeas corpus ad testificandum and prosequendum or other orders necessary to obtain the presence of parties, witnesses, or evidence;
- (15) conduct non-jury trials in cases involving petty offenses or misdemeanors;
- (16) conduct jury trials in cases involving misdemeanors under 18 U.S.C. § 3401(a);
- (17) direct the probation office to conduct presentence investigations in cases involving misdemeanors;
- (18) perform the functions specified in 18 U.S.C. §§ 4107, 4108, and 4109 regarding proceedings for verification of consent by offenders to transfer to or from the United States, including the appointment of counsel;
- (19) appoint counsel for a person subject to revocation of probation, parole, or supervised release; a person in custody as a material witness; a person seeking relief under 28 U.S.C. §§ 2241, 2254, or 2255 or 18 U.S.C. § 4245; or a person for whom the Sixth Amendment to the United States Constitution or federal law requires the appointment of counsel;
- (20) conduct preliminary hearings;
- (21) exercise contempt authority as authorized by law;

- (22) preside over cases involving petty offenses or misdemeanors brought against juvenile defendants as authorized by law;
- (23) act on post judgment matters as authorized by law;
- (24) order psychiatric or psychological examinations and reports under 18 U.S.C. §§ 3552(c), 4241(b), 4244(b), 4245(b) and/or 4246(b);
- (25) conduct hearings and make recommendations to district judges concerning competency of defendants to stand trial; and
- (26) conduct hearings and make recommendations to district judges on motions to suppress statements or evidence.

**D.C.COLO.LCrR 57.2  
COMMUNICATION WITH A JUDICIAL OFFICER**

Unless otherwise ordered, a party to or an attorney in a proceeding shall not communicate directly about the proceeding in any manner with a judicial officer assigned to the proceeding.

**D.C.COLO.LCrR 57.3  
CAMERAS AND RECORDING DEVICES**

- (a) **Cameras Prohibited.** Unless authorized by a judicial officer, a device whose exclusive or principal use is as a camera or video recorder may not be brought into or used in a United States Courthouse or any location in which court business and proceedings are conducted.
- (b) **Permissible Devices.** After clearing security, an electronic device, including, but not limited to, a cellular telephone, a smartphone, a laptop computer, an electronic tablet, or a personal data assistant (PDA), regardless of the technology used or the name by which the device is marketed, may be brought into any public area in the United States Courthouse or any location in which court business and proceedings are conducted.
- (c) **Impermissible Uses of Permissible Devices.** A person shall not use a permissible device defined in Subdivision (b) to take photographs or to make audio or video recordings in any public area in the United States Courthouse or any other location in which court business and proceedings are conducted. A person shall not use a permissible device defined in Subdivision (b) to take photographs or to make audio or video recordings in any courtroom or chambers except as authorized by the judicial officer having direct control of that space.

**(d) Sanctions for Violations.** Violation of this rule may constitute contempt of court punishable by incarceration and the imposition of fines, costs, and attorney fees. The court authorizes the following agencies and those under contract employment of such agencies to maintain the security of district court spaces:

- (1) United States Marshals Service;
- (2) United States Department of Homeland Security Federal Protective Service; and
- (3) United States General Services Administration.

The authority to maintain security through the enforcement of this rule may involve taking possession of the device, searching the device and its contents, and preserving, copying, transferring, or deleting unauthorized video or audio recordings or photographs of court operations, proceedings, or facility space from electronic devices with or without the cooperation of a person found to be in possession of the device.

A judicial officer may take action to enforce this rule or to sanction a violator.

#### **D.C.COLO.LCrR 57.4 SECURITY**

**(a) Procedures.** All persons entering a building where court is being held shall be subject to security procedures.

All briefcases, purses, parcels, bags, backpacks, and other items shall be passed through X-ray scanners and shall be subject to search. This rule shall apply at such other places as a judicial officer may direct.

Violation of this rule shall be grounds for refusing admission to the building where court is being held and may subject the offender to detention, arrest, and prosecution as provided by law or to a contempt proceeding.

**(b) Identification or Information.** On request of a United States marshal, court security officer, federal protective service officer, or court official, anyone within or seeking entry to any court building shall produce identification and state the nature of his or her business. Failure to provide identification or information shall be grounds for removal or exclusion from the building.

**D.C.COLO.LCrR 57.5  
ACCOMMODATIONS UNDER AMERICANS WITH DISABILITIES ACT**

No later than seven days before a hearing or trial, counsel or an unrepresented party shall notify the court of an accommodation required under the Americans with Disabilities Act.

**D.C.COLO.LCrR 58.1  
FORFEITURE OF COLLATERAL IN LIEU OF APPEARANCE AND NOTICE OF CONVICTION**

- (a) **Scheduled Offenses; Collateral.** For certain scheduled offenses committed within the jurisdiction of this court, collateral may be posted in the amount set by the court. Unless otherwise ordered, the schedule of collateral for assimilated state-law offenses shall be as set by the statutes or regulations of the state.
- (b) **Petty Offenses; Collateral.** For a petty offense, a judicial officer may specify a collateral forfeiture amount different from that set out in this rule with the consent of an attorney or other representative of the government.
- (c) **Forfeiture Amount; Payment.** The collateral forfeiture amount set by a judicial officer under this Subdivision shall not be less than the minimum nor greater than the maximum fine that may be imposed on conviction for violation of the applicable statute or regulation. Collateral may be posted by mail. Payment may be made by cash, check, money order, draft, or court-approved credit card.
- (d) **Forfeiture.** If a defendant fails to appear before a judicial officer after posting collateral, the collateral shall be forfeited to the United States and that forfeiture shall be accepted in lieu of appearance and shall terminate the proceeding without entry of judgment.
- (e) **Increase in Amount of Collateral.** If a defendant fails to post collateral or fails to appear before a judicial officer, a judicial officer may increase the amount of collateral. The increased amount of collateral shall not exceed the maximum fine that may be imposed on conviction.
- (f) **Forfeiture Not Permitted; Appearance Required.** Notwithstanding Subdivision (d) above, forfeiture of collateral shall not be permitted, and the defendant shall appear before a judicial officer in person or by counsel for an offense involving
  - (1) personal injury or death;
  - (2) operation of a motor vehicle while under the influence of or impaired by alcohol or a controlled substance as defined under federal or state law;

- (3) operation of a motor vehicle while a driver's license is suspended, cancelled, denied, or revoked;
  - (4) reckless driving;
  - (5) a state or federal statute or regulation that requires the appearance of the defendant;
  - (6) illegal use or possession of a firearm, destructive device, or dangerous weapon as defined under federal or state law; or
  - (7) an assault or a crime of violence as defined under federal or state law.
- (g) Notice of Conviction.** When a defendant is convicted of a motor-vehicle offense that requires a mandatory appearance, the clerk shall send notice of that conviction to the appropriate state agency.
- (h) Collateral Forfeiture Framework.** The United States Attorney shall submit a proposed collateral forfeiture schedule to the court on behalf of each federal agency that issues Violation Notices. The proposed collateral forfeiture schedules shall contain collateral forfeiture amounts within the ranges in the Collateral Forfeiture Framework. Once approved by the court, the Collateral Forfeiture Framework and schedules shall be on the court's website [HERE](#). The Collateral Forfeiture Framework is not applicable to assimilated state-law offenses or offenses outlined in Subdivision (f).

**SECTION III - AP RULES  
UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLORADO**

**I. SCOPE, PURPOSE, AND CONSTRUCTION**

**D.C.COLO.LAPR 1.1  
SCOPE OF THE LOCAL AP RULES**

- (a) **Title and Citation.** These rules shall be known as the Local Rules of Practice of the United States District Court for the District of Colorado – AP Rules. These rules shall be cited as D.C.COLO.LAPR Rule, Subdivision, Paragraph, Subparagraph, Item (e.g., D.C.COLO. LAPR 1.1(f)(1)(A)).
- (b) **Effective Date.** Unless otherwise stated, these rules are effective as of December 1 of each year.
- (c) **Scope.** These rules apply to pre-merits management and briefing in a social security appeal, a case commenced or reviewed under 5 U.S.C. § 706 concerning an action or final decision of an administrative agency, board, commission or officer, or a bankruptcy appeal (AP Case).
- (d) **Numbering and Indexing.** These rules are numbered and indexed insofar as practicable in accordance with the specific designations of the Judicial Conference Uniform Numbering System.
- (e) **CM/ECF.** CM/ECF is the Case Management/Electronic Case Filing system of the court.
- (f) **Pilot Programs or Special Projects.** A pilot program or special project may be authorized by the court following reasonable public notice and opportunity for public comment.

  - (1) Public notice shall specify:

    - (A) the purpose of the pilot program or special project;
    - (B) the term of the pilot program or special project;
    - (C) the effect upon any local rule of practice; and

- (D) any requirement necessary to implement or facilitate the pilot program or special project.
- (2) The term for a pilot program or special project shall not exceed 18 months, but may be extended for one six-month period in conjunction with the promulgation of a corresponding local rule.

### **D.C.COLO.LAPR 1.2 FORMS**

Court approved forms are on the court's website [HERE](#). A form may be modified by the court or a judicial officer at any time. A form modified by a judicial officer may be under the judicial officer's practice standards on the court's website.

## **II. COMMENCEMENT OF ACTION, FORM OF PLEADING, SERVICE OF PROCESS, AND ASSIGNMENT OF AP CASES**

### **D.C.COLO.LAPR 3.1 CIVIL COVER SHEET**

A properly completed Civil Cover Sheet [HERE](#) shall be filed at the commencement of every AP Case. The filing party shall check the box titled "AP docket" in Section VI of the Civil Cover Sheet regarding Cause of Action. Disputes as to the AP docket designation shall be addressed by motion filed before an answer or other response is due.

### **D.C.COLO.LAPR 10.2 COMMENCEMENT OF ACTION AND FORM OF PLEADING**

#### **(a) Social Security Appeals.**

- (1) Review of a decision of the Commissioner of Social Security shall be commenced by filing a "Complaint and Petition for Review" which shall identify the specific order or decision for which review is sought and the date of issuance of that order or decision.
- (2) As an answer to the complaint, the Commissioner shall file a certified copy of the transcript of the relevant administrative record and any affirmative defense, which if not then filed, shall be waived.

**(b) Bankruptcy Appeals.** Appeals to the United States District Court for the District of Colorado from the Bankruptcy Court must be commenced and administered as prescribed in Part VIII of the Federal Rules of Bankruptcy Procedure 8001-8020.



- (c) **Administrative Appeals/Actions for Review of Final Agency Orders, Decisions, or Rulemaking.** Review of an order, decision, rulemaking, or other final action of an administrative agency under an agency's establishing statute or the Administrative Procedure Act is commenced by filing a properly denominated complaint or petition for relief as specified by the statute under which relief is requested. The complaint or petition for relief shall include factual allegations relating to the grounds on which the agency action is being challenged and the legal basis for plaintiff/petitioner's entitlement to relief.

**D.C.COLO.LAPR 10.3  
AP DOCKET**

**Opening an AP Case.** On proper commencement of an AP case under Subdivision (a), (b), or (c) of D.C.COLO.LAPR 10.2, the clerk shall open a case and assign a case number without random selection to a district judge under D.C.COLO.LCivR 40.1(e). The case number shall bear the initials "AP" to identify the case as an appeal.

**III. THE RECORD, PRE-MERITS BRIEFING AND  
MOTIONS PRACTICE**

**D.C.COLO.LAPR 16.1  
AP CASE MANAGEMENT**

- (a) **Joint Case Management Plan.** A scheduling conference under D.C.COLO.LCivR 16.1 shall not be conducted. In all AP cases, except bankruptcy appeals, the parties will be directed to file a Joint Case Management Plan (JCMP). The form of JCMP for social security appeals is [HERE](#). The form of JCMP for review of agency action in other AP cases, including environmental cases, is [HERE](#).
- (b) **Motions for Summary Judgment.** Motions for summary judgment shall not be filed.
- (c) **Termination of AP Case Designation.** On completion of pre-merits management, designation as an AP case shall terminate, and the case shall be assigned under D.C.COLO.LCivR 40.1. For good cause, designation as an AP case may be terminated before the completion of pre-merits management on motion of a party or sua sponte by the district judge designated for pre-merits management under D.C.COLO.LCivR 40.1(e).

## IV. CONSENT JURISDICTION OF A MAGISTRATE JUDGE

### D.C.COLO.LAPR 72.2

#### CONSENT JURISDICTION OF A MAGISTRATE JUDGE

- (a) **Designation.** Under 28 U.S.C. § 636(c)(1) and subject to this rule, all full-time magistrate judges are designated specially to conduct any or all proceedings in social security appeals following completion of pre-merits management.
- (b) **Prohibition.** No judicial officer, court official, or court employee may attempt to influence the granting or withholding of consent to the reference of any social security appeal to a magistrate judge. The form of notice of right to consent to disposition by a magistrate judge shall make reference to the prohibition and shall identify the rights being waived.
- (c) **Notice.** On the filing of any social security appeal, the clerk shall serve on the plaintiff and defendant notice of the right of the parties to consent to disposition of the appeal by a magistrate judge under 28 U.S.C. § 636(c) and the provisions of this rule.
- (d) **Unanimous Consent; Determination.** To consent to the jurisdiction of a magistrate judge under 28 U.S.C. § 636(c), all parties shall complete and file a Consent to the Exercise of Jurisdiction by a United States Magistrate Judge form [HERE](#). Unless otherwise ordered by the pre-merits district judge, written consent to proceed before a magistrate judge shall be filed no later than the date on which the Joint Case Management Plan is filed. If the parties consent, the pre-merits district judge shall then determine whether to enter an order of reference under 28 U.S.C. § 636(c).
- (e) **Assignment.** On entry of an order of reference under 28 U.S.C. § 636(c), the appeal shall be assigned under D.C.COLO.LCivR 40.1(a) to a magistrate judge effective on completion of pre-merits management.
- (f) **Vacating Reference.** A reference of a social security appeal to a magistrate judge may be vacated under 28 U.S.C. § 636(c)(4).

## **SECTION IV – ATTORNEY RULES UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO**

### **I. SCOPE, PURPOSE, AND CONSTRUCTION**

#### **D.C.COLO.LAttyR 1 SCOPE OF ATTORNEY RULES**

- (a) **Title and Citation.** These rules shall be known as the Local Rules of Practice of the United States District Court for the District of Colorado-Attorney. These rules shall be cited as D.C.COLO.LAttyR Rule, Subdivision, Paragraph, Subparagraph, Item (e.g., D.C.COLO.LAttyR 15(g)(1)(B)(ii)).
- (b) **Effective Date.** Unless otherwise stated, these rules are effective as of December 1 of each year.
- (c) **Scope.** These rules shall apply to all attorneys who are admitted to the bar of this court, or who purport to appear in the United States District Court or the United States Bankruptcy Court for the District of Colorado.
- (d) **Effect on Authority of Court.** Nothing stated in these rules shall be deemed to negate or diminish the express or inherent disciplinary powers of the court or a judicial officer.

### **II. STANDARDS OF PROFESSIONAL CONDUCT**

#### **D.C.COLO.LAttyR 2 STANDARDS OF PROFESSIONAL CONDUCT**

- (a) **Standards of Professional Conduct.** Except as provided by Subdivision (b) or order or rule of the United States Bankruptcy Court for the District of Colorado, the Colorado Rules of Professional Conduct (Colo. RPC) are adopted as standards of professional responsibility for the United States District Court and the United States Bankruptcy Court for the District of Colorado.
- (b) **Exceptions.** The following provisions of the Colorado Rules of Professional Conduct (Colo. RPC) are excluded from the standards of professional responsibility for the United States District Court and the United States Bankruptcy Court for the District of Colorado:

- (1) Colo. RPC 1.2(c) (limiting scope of representation), except that, if ordered, an attorney may provide limited representation to an unrepresented prisoner in a civil action;
- (2) Colo. RPC 1.2(d), Comment [14] (counseling and assisting client regarding Colorado Constitution art. XVIII, §§ 14 and 16 and related statutes, regulations, or orders, and other state or local provisions implementing them), except that a lawyer may advise a client regarding the validity, scope, and meaning of Colorado Constitution art. XVIII, §§ 14 and 16 and the statutes, regulations, orders, and other state or local provisions implementing them, and, in these circumstances, the lawyer shall also advise the client regarding related federal law and policy;
- (3) Colo. RPC 4.2, Comment [9A] (communicating with person to whom counsel is providing limited representation);
- (4) Colo. RPC 4.3, Comment [2A] (dealing with person to whom counsel is providing limited representation);
- (5) Colo. RPC 4.4(b) (notifying sender of inadvertently disclosed document); and
- (6) Colo. RPC 6.5 (limiting scope of representation).

### III. BAR OF THE COURT, GOOD STANDING, RESIGNATION

#### D.C.COLO.LAttyR 3 REQUIREMENTS FOR BAR OF THE COURT

- (a) **Application.** An applicant for admission to the bar of this court shall be a person licensed by the highest court of a state, federal territory, or the District of Columbia, on active status in a state, federal territory, or the District of Columbia, and a member of the bar in good standing in all courts and jurisdictions where the applicant has been admitted. Each applicant shall complete an approved form provided by the clerk and shall pay all fees established by the court.
- (b) **Consent to Jurisdiction; Certification of Familiarity with Local Rules.** An attorney who applies for admission to the bar of this court:
  - (1) consents to this court's exercise of disciplinary jurisdiction over any alleged misconduct;
  - (2) certifies familiarity with the local rules of practice of this court; and

- (3) certifies familiarity with the Standards of Professional Conduct adopted in this court in D.C.COLO.LAttyR 2.
- (c) **Member in Good Standing.** An attorney admitted to the bar of this court must remain in good standing in all courts where admitted. In good standing means not suspended or disbarred by any court for any reason. An attorney whose suspension or disbarment has been stayed by order of the disciplining court prior to the effective date of the suspension or disbarment remains in good standing. An attorney who is not in good standing shall not practice before the bar of this court or continue to be an attorney of record in any pending case. On notice to this court of lack of good standing from the suspending or disbaring jurisdiction, or otherwise, the clerk of this court shall make a notation in the court record of such lack of good standing.
- (d) **Relief from Rule of Good Standing.**
- (1) **Applications.** An attorney who seeks relief from the rule of good standing in Subdivision (c) shall file a request for relief, which shall be referred to the Committee on Conduct.
- (2) **Standards.** It is presumed that discipline imposed by another court against a member of the bar of this court is appropriate. To obtain relief, the attorney so disciplined has the burden to establish by clear and convincing evidence that:
- (A) the procedure resulting in discipline by the court was so lacking in notice or opportunity to be heard as to deny due process;
- (B) the application of the rule of good standing in Subdivision (c) would result in grave injustice, or
- (C) the kind of misconduct warrants substantially less severe discipline.
- (3) **Reinstatement by Original Disciplining Court.** An attorney who has been reinstated or readmitted by the original disciplining court, but who remains suspended or disbarred in a different court for the same conduct as that at issue in the original disciplining court, may apply for reinstatement or readmission pursuant to D.C.COLO.LAttyR 11 and is not disqualified by the requirement that an attorney be in good standing in all courts where admitted.
- (e) **Resignation.** An attorney may resign from the bar of this court only if the attorney is in good standing, is not counsel of record in an active case, and is not the subject of any disciplinary proceeding before this court.

**D.C.COLO.LAttyR 4  
ATTORNEY SELF-REPORTING REQUIREMENTS**

- (a) **When Self-Reporting is Required.** An attorney who has been admitted to the bar of this court shall notify the clerk of the court in the following circumstances.
- (1) **Eligibility.** The attorney must remain a licensed member in active status and in good standing of the bar of at least one state, federal territory, or the District of Columbia. If at any time these eligibility criteria are not met, the attorney shall, no later than 14 days after the attorney receives notice of the change in status, notify the clerk of this court of the change in status and the reason for the change.
  - (2) **Suspension or Disbarment by Another Court.** If the attorney is suspended or disbarred for any reason by any court, the attorney shall give, no later than 14 days after the date the disciplinary order enters, written notice to the clerk of this court of the terms of discipline, the name and address of the court imposing the discipline, and the effective date of the disciplinary action. An order of suspension or disbarment that is stayed or appealed must be reported.
  - (3) **Resignation Pending Investigation of Misconduct.** If the attorney resigns from the bar of any other federal or state court while an investigation into allegations of misconduct is pending, the attorney shall give to the clerk of this court, no later than 14 days after the resignation, written notice of the resignation and the fact that an investigation was pending.
  - (4) **Pending Charges.** If the attorney is charged in any court with a crime as defined in D.C.COLO.LAttyR 8(a), the attorney shall notify the clerk of this court in writing of the charge no later than 14 days after the attorney receives notice that the charge has been filed.
  - (5) **Conviction.** If the attorney is convicted of a crime as defined in D.C.COLO.LAttyR 8(a), the attorney shall provide the clerk of this court, no later than 14 days after the conviction, written notice of the conviction, including the terms of the conviction, the terms of the sentence if known, the maximum term of imprisonment that may be imposed for the offense, the court entering the conviction, and the date of conviction. In addition, the attorney shall notify the clerk of this court, no later than 14 days after the conviction becoming final with no further right of direct appeal, that the conviction has become final. The definition of conviction in D.C.COLO.LAttyR 8(b) applies to this paragraph.

- (b) **Effect of Failure To Self-Report.** Failure to self-report is a separate cause for disciplinary action. However, a failure to self-report an administrative suspension for failure to pay an annual registration fee or to comply with mandatory continuing legal education requirements shall not constitute separate cause for further disciplinary action by this court.

#### **IV. ENTRY AND WITHDRAWAL OF APPEARANCE**

##### **D.C.COLO.LAttyR 5 ENTRY AND WITHDRAWAL OF APPEARANCE AND MAINTENANCE OF CONTACT INFORMATION**

(a) **Entry of Appearance.**

- (1) Unless otherwise ordered, an attorney shall not appear in a matter before the court unless the attorney has filed an Entry of Appearance or signed and filed a pleading or document.
- (2) An Entry of Appearance, initial pleading, or initial document shall include
  - (A) the identity of the party for whom the appearance is made;
  - (B) the firm name, office address, telephone number, and primary CM/ECF e-mail address of the attorney; and
  - (C) the certification of the attorney that the attorney is a member in good standing of the bar of this court.
- (3) A form of Entry of Appearance is available on the court's website [HERE](#) or in the office of the clerk of court.
- (4) Only an unrepresented party or a member of the bar of this court as defined in D.C.COLO.LAttyR 3 may appear in a matter before the court, sign and file a pleading or document, or participate in a deposition, hearing, or trial. The provision restricting the signing of a document shall not apply to a witness, deponent, declarant, or affiant.
- (5) The responsibility for signing a pleading or document shall not be delegated.
- (6) This rule shall not be applied or construed in a manner inconsistent with any statute or rule governing an attorney appearing for the United States.

- (b) **Withdrawal of Appearance.** An attorney who has appeared in a case may seek to withdraw on motion showing good cause. Withdrawal shall be effective only on court order entered after service of the notice of withdrawal on all counsel of record, any unrepresented party, and the client of the withdrawing attorney. A motion to withdraw must state the reasons for withdrawal, unless the statement would violate the rules of professional conduct. Notice to the client of the attorney must include the warning that the client is personally responsible for complying with all court orders and time limitations established by applicable statutes and rules. Where the client of the withdrawing attorney is a corporation, partnership, or other legal entity, the notice shall state that such entity may not appear without counsel admitted to the bar of this court, and that absent prompt appearance of substitute counsel, pleadings and papers may be stricken, and default judgment or other sanctions may be imposed against the entity.
- (c) **Change of Contact Information.** Notice of change of name, mailing address, or telephone number of an attorney or unrepresented party shall be filed no later than five days after the change. A user of CM/ECF shall keep his/her primary and alternative e-mail address current. Instructions for a user to update and maintain his/her CM/ECF account are [HERE](#).

## V. ATTORNEY DISCIPLINE

### D.C.COLO.LAttyR 6 DISCIPLINARY PANEL AND COMMITTEE ON CONDUCT

- (a) **Disciplinary Panel.** The Chief Judge shall appoint a panel of three judicial officers to constitute the Disciplinary Panel (the Panel). The Panel shall have jurisdiction over all judicial proceedings involving disbarment, suspension, censure, or other attorney discipline. The Chief Judge may designate additional judicial officers to serve as alternates on the Panel.
- (b) **Committee on Conduct.** The court has established a standing Committee on Conduct (the Committee) consisting of 12 members of the bar of this court. Each member shall be appointed for three years and until a successor is appointed. No member of the Committee shall serve more than two consecutive terms. Additional members may be appointed by the court. Any member appointed to fill a vacancy shall serve the unexpired term of his or her predecessor. If a member serves beyond expiration of the appointed term, the additional time served shall be chargeable to the successor member. The court shall designate a chairperson and vice-chairperson of the Committee. The vice-chairperson shall act during the absence or disability of the chairperson. Members of the Committee shall serve without compensation, but when practicable their necessary expenses shall be



paid by the clerk from the fund in which admission and annual registration fees paid by members of the bar are deposited.

- (c) **Duties of the Committee.** The Committee shall receive, investigate, consider, and act on complaints against members of the bar of this court, applications for reinstatement or readmission, applications for relief from the rule of good standing, and allegations that a member of the bar of this court is incapable of practicing law due to a disability, including, but not limited to, physical or mental disability or substance abuse. The chairperson shall appoint one or more members to present and prosecute charges and to prepare orders and judgments as directed by the Panel. The Committee is authorized to report any information consistent with the objectives of this rule to the authorized disciplinary body of any bar or court where the applicant or respondent attorney is admitted. The Committee may perform any additional duties implied by these rules or assigned by order of the Panel.
- (d) **Abstention and Disqualification of Current and Former Committee Member.** A Committee member shall refrain from participating in any disciplinary proceedings in which a judge, similarly situated, would be required to recuse. A Committee member shall not represent an attorney in any matter before the Committee. A former Committee member shall not represent any attorney investigated or prosecuted during the former Committee member's term on the Committee.

#### **D.C.COLO.LAttyR 7 COMPLAINTS AND GROUNDS FOR DISCIPLINE**

- (a) **Complaints.** A complaint against a member of the bar of this court for any conduct which may justify any disciplinary action (not limited to suspension or disbarment) shall be filed in writing under oath, except that a complaint filed by a judicial officer need not be under oath. A complaint shall be filed with or referred to the Committee.
- (b) **Grounds for Discipline.** Grounds for discipline include:
  - (1) a violation or attempted violation of the Standards of Professional Responsibility of this court;
  - (2) a willful failure to comply with a subpoena validly issued by the Committee or the Panel, or the knowing failure to respond to a lawful demand from the Committee or the Panel, except that this rule does not require disclosure of information otherwise protected by privilege or applicable rules relating to confidentiality.

- (c) **Types of Sanctions.** Misconduct shall be grounds for imposition of one or more of the following sanctions:
- (1) **Disbarment.** Disbarment means the removal of the attorney from the bar of this court.
  - (2) **Suspension.** Suspension means the revocation for an appropriately fixed period of time of the authorization and good standing of the attorney to practice in this court. Suspension may be stayed in whole or in part.
  - (3) **Public censure.** Public censure means a reproach made in public.
  - (4) **Letter of admonition.** A letter of admonition means an unpublished reproach.
- (d) **Investigation of Complaints.** When the Committee receives information by complaint or otherwise alleging attorney misconduct or incapacity, the matter shall be referred by the chairperson of the Committee to a Subcommittee consisting of three Committee members designated by the chairperson who shall appoint one of them as Subcommittee chairperson. The chairperson or vice-chairperson of the Committee may be designated as a member of a Subcommittee.
- (1) **Service of Complaint and Answer.** The Subcommittee shall investigate a complaint referred to it by the chairperson of the Committee. A copy of the complaint shall be served on the member of the bar against whom the complaint has been made (the respondent) by certified mail, return receipt requested, addressed to the most current address of the respondent on file with the clerk. No answer shall be accepted or considered, unless specifically requested of the respondent by the Subcommittee investigating the complaint. If an answer is requested by the Subcommittee, the respondent shall file an answer under oath with the Subcommittee no later than 21 days of the date of the request or such other deadline as specified by the Subcommittee.
  - (2) **Hearings, Witnesses, and Documents.** The Subcommittee shall have the authority to request documents, interview or depose witnesses, and consult experts. On request of the Subcommittee, the clerk shall issue subpoenas, returnable to the Committee, commanding the presence of witnesses and/or production of designated books, papers, documents, or other tangibles at the times and places stated in the subpoena. The Subcommittee chairperson, as master, is authorized to administer oaths. Any witness who fails or refuses to comply with a subpoena shall be subject to contempt proceedings before the Panel.

(e) **Resolution of the Complaint by the Committee on Conduct.** On completion of its investigation, the Subcommittee shall report its recommendations to the full Committee. The Subcommittee shall not recommend a disposition other than dismissal without first notifying the respondent in writing of the substance of the matter and affording the respondent an opportunity to respond in writing. Notice at the last known address of the respondent is sufficient. The Committee may, by a majority of the Committee members in attendance, instruct the Subcommittee in any one of the following ways:

- (1) **Dismissal of the Complaint.** If the Committee concludes that the complaint is without merit or that other grounds justify its dismissal, the Committee shall send a letter signed by the chairperson or vice-chairperson of the Committee advising the complainant and the respondent.
- (2) **Letter of Admonition.** If the Committee concludes that the misconduct is sufficiently significant that the complaint should not be dismissed, but may not warrant submitting charges to the Panel, the Committee may issue a private letter of admonition to the respondent. The complainant shall be notified that the letter of admonition was issued, but shall not be provided with a copy of the letter of admonition. Neither the fact that the letter of admonition was issued nor its content shall be made available to the public. The respondent receiving a letter of admonition may file a written request no later than 21 days for review of the letter. The admonition shall be reviewed by the Panel for clear error.
- (3) **Submission of Charges.** The Committee may instruct the Subcommittee to prepare charges and submit them to the Panel or, with or without preparing charges, may refer the matter to the Colorado Supreme Court - Attorney Regulation Counsel or another court-authorized grievance body. If charges are prepared and submitted to the Panel, and thereafter the Panel orders the charges filed, the clerk shall file them and issue forthwith a summons commanding the respondent to answer. Except as hereinafter provided, the summons and a copy of the charges shall be served by a United States marshal or his or her designee. A respondent who cannot be served in Colorado may be served by filing a copy of the summons and charges with the clerk, who shall send a copy of the summons and charges by certified mail, return receipt requested, to the last office address the respondent filed with the clerk. The respondent shall answer the charges no later than 30 days from the date of service. Absent a timely answer, the charges may be taken as confessed, and the Panel may conduct further proceedings which may be held on an ex parte basis as the Panel deems appropriate, and may enter judgment against the respondent without hearing or further notice to the respondent.

- (f) **Disciplinary Panel Hearings and Orders.** After the respondent has filed an answer, an evidentiary hearing may be scheduled by the Panel. The Panel or a judicial officer appointed by the Panel may issue orders regarding discovery and other pre-hearing matters. A respondent against whom charges have been filed shall be entitled to representation by counsel at the expense of the respondent. The chairperson of the Committee shall appoint one or more of its members to prosecute the charges. If the charges are sustained by clear and convincing evidence, the Panel may censure, suspend, disbar, or otherwise discipline the respondent. A respondent who is suspended or disbarred shall be enjoined from practicing law before this court, and the judgment shall so recite. Any violation of the judgment shall be deemed a contempt of court.
- (g) **Conditional Admission.** A respondent against whom formal charges have been made may tender to the Committee a conditional admission to the charges or to a particular charge in exchange for a stated form of discipline. A conditional admission shall be approved or rejected by the Panel. If the stated form of discipline is rejected by the Panel, the admission shall be withdrawn and may not be used against the respondent in any subsequent proceedings.
- (h) **Complaint against Sitting Member of the Committee on Conduct.** If a complaint is filed against a sitting member of the Committee, in lieu of investigation by any other sitting member of the Committee, the court shall appoint a Special Subcommittee consisting of three members of the bar of the court who in the past have served on the Committee, but not as co-members with the member against whom the complaint is lodged, or such other members of the bar of the court as the court may choose. The court shall designate one of the three as chairperson of the Special Subcommittee. The Special Subcommittee shall follow the procedures of this rule, except that the Special Subcommittee shall work directly with the Panel rather than the full Committee.

#### **D.C.COLO.LAttyR 8 CONVICTION OF CRIME**

- (a) **Crime.** As used in these rules, a crime for which discipline may be imposed is any crime punishable by a term of imprisonment of more than one year; any lesser crime that reflects adversely on the honesty, trustworthiness or fitness of the attorney in other respects; or any crime a necessary element of which, as determined by the statutory or common law definition of the crime, involves interference with the administration of justice, false swearing, misrepresentation, fraud, deceit, bribery, extortion, misappropriation, theft, or an attempt, conspiracy or solicitation to commit a crime.
- (b) **Conviction.** As used in these rules, a conviction shall include a verdict of guilty, a plea of guilty, or a plea of nolo contendere, regardless of whether entry of

judgment of conviction or imposition of sentence is suspended or deferred by the court.

- (c) **Duty of the Clerk.** After receiving notice of a conviction of a member of the bar of this court for a crime as defined in Subdivision (a), the clerk shall immediately notify the Panel and the attorney.
- (d) **Interim Suspension.**
  - (1) **General Procedure.** The Panel may place an attorney on interim suspension immediately on proof of a conviction of the attorney for a crime as defined in Subdivision (a), regardless of the pendency of any appeal. Alternatively, the Panel may refer the attorney to the Committee for investigation and recommendation.
  - (2) **Opportunity to Object.** The attorney may submit in writing any objection that establishes that the suspension may not properly be ordered, such as proof that the crime did not constitute a crime as defined in Subdivision (a) or that the attorney is not the individual convicted.
  - (3) **Termination.** On a written showing by the attorney of extraordinary circumstances, the Panel may vacate an order of suspension.
  - (4) **Effect of Reversal or Vacatur of Conviction.** With the exception of a guilty plea resulting in a deferred judgment or sentence, if an attorney suspended under this rule demonstrates that the underlying conviction has been reversed or vacated, the order of interim suspension shall be vacated and the attorney reinstated. The vacatur of the interim suspension shall not automatically terminate any disciplinary proceeding then pending against the attorney.
- (e) **Formal Charges.** The conviction for a crime as defined in Subdivision (a) is final when there has been a plea of guilty or a plea of nolo contendere, or, in the event of a guilty verdict, on the conclusion of any direct appeals. When the conviction for a crime as defined in Subdivision (a) is final, the Committee shall consider the facts and shall determine whether to submit formal charges to the Panel pursuant to D.C.COLO.LAttyR 7(e)(3). If the Committee submits formal charges to the Panel, the Committee shall recommend the nature and extent of the discipline to be imposed.
- (f) **Other Grounds for Discipline.** Notwithstanding the disposition of criminal charges, the Committee may consider the underlying facts to determine if disciplinary proceedings are warranted.

**D.C.COLO.LAttyR 9  
EFFECT OF RESIGNATION FROM BAR OF ANOTHER COURT WHILE UNDER  
INVESTIGATION**

On receipt of notice that any member of the bar of this court has resigned from the bar of any other federal or state court while an investigation into allegations of misconduct is pending in that court, the Panel may disbar or suspend the attorney from practicing as a member of the bar of this court.

**VI. INCAPACITY**

**D.C.COLO.LAttyR 10  
INCAPACITY DUE TO DISABILITY OR SUBSTANCE ABUSE**

**(a) Allegation or Evidence of Incapacity Due to Disability or Substance Abuse.**

- (1) Committee Investigation.** When the Committee receives an allegation or evidence that a member of the bar of this court may be unable to fulfill his or her professional responsibilities because of mental or physical disability or substance abuse, the Committee may take or direct whatever action it deems appropriate to investigate the matter. The investigation may include examination by such experts the Committee shall designate. The cost of such examination shall be borne by the court. If the attorney fails or refuses to submit to the examination, the Panel may initiate contempt proceedings and impose appropriate punishment. The attorney may obtain an independent opinion from an expert or experts of his or her choice at his or her sole expense and may submit the results of such additional examination(s), and any written submissions to the Committee. If the Committee determines that the attorney is unable to fulfill his or her professional responsibilities because of mental or physical disability or substance abuse, the Committee shall petition the Panel for an order of suspension.
- (2) Voluntary Election.** At any time before the Committee petitions the Panel for an order of suspension, the attorney may elect to transfer voluntarily to disability inactive status. The Committee shall advise the attorney of this option prior to ordering any examination of the attorney. The Committee may continue any pending disciplinary investigation.
- (3) Panel Determination.** If the Committee petitions the Panel for an order of suspension, the Panel may take or direct whatever action it deems appropriate to determine the matter. The Panel shall provide the attorney with notice of the proceedings. If the attorney is without representation, the

Panel may appoint counsel to represent the attorney. The Panel may order additional examinations, the cost of which shall be borne by the court. Failure or refusal to submit to examination shall be prima facie evidence of disability. The attorney may make a written submission to the Panel. Before reaching a determination, the Panel may offer the attorney an opportunity to transfer voluntarily to disability inactive status. If the Panel concludes that the attorney is unable to fulfill his or her professional responsibilities because of mental or physical disability or substance abuse, the Panel may order the attorney suspended. Alternatively, the Panel may impose conditions that the attorney must satisfy to continue practicing in this court and may issue a private letter to the attorney stating its bases for concern.

- (b) **Placement by Another Court on Disability Inactive Status.** If a member of the bar of this court is placed on disability inactive status or suspended due to disability by any state or federal court, that attorney shall be placed on disability inactive status and shall not practice in this court until the attorney submits an application for reinstatement under D.C.COLO.LAttyR 11 and the application is approved by the Panel.
- (c) **Declaration of Incompetence or Involuntary Commitment.** When the Panel receives proof that a member of the bar of this court has been judicially declared incompetent or involuntarily committed to a treatment facility, the Panel may immediately order that the attorney be suspended from practicing in this court. A copy of the order shall be served on the attorney, his or her guardian, and the director of the treatment facility.
- (d) **Claim of Disability During Disciplinary Proceeding.** If during a disciplinary proceeding the attorney asserts that his or her defense is impaired due to mental or physical illness or substance abuse, the Panel shall refer the attorney to the Committee and order the attorney suspended from practicing in this court until the Committee determines the capacity of the attorney to practice law under Subdivision (a)(1).
- (e) **Effect of Disability Inactive Status or Suspension.** An attorney who has been suspended by this court due to disability or who is on disability inactive status in this court shall not practice in this court until the attorney submits an application for reinstatement under D.C.COLO.LAttyR 11 and the application has been approved.

## VII. REINSTATEMENT AND READMISSION

### D.C.COLO.LAttyR 11 REINSTATEMENT AND READMISSION

#### (a) Reinstatement After Suspension; Readmission after Disbarment.

- (1) **General Procedure.** An applicant for reinstatement or readmission shall complete an approved form provided by the clerk and pay any fees established by the court. An application for reinstatement or readmission shall be investigated by one or more members of the Committee appointed by the chairperson. Following investigation, the Committee shall prepare a recommendation. If the Committee recommends denial of the application, the Committee shall first notify the applicant of the recommendation and the corresponding reasons, and provide the applicant with an opportunity to provide a written response. The recommendation, the response, and all supporting documents shall be submitted to the Panel for decision.
- (2) **When Application May be Made.** An attorney who has been suspended may apply for reinstatement or readmission only after the term of suspension has expired. An attorney who has been disbarred may not reapply until at least five years after disbarment.
- (3) **Standards.** An attorney who has been suspended or disbarred and who seeks reinstatement or readmission must show by clear and convincing evidence that the attorney has been rehabilitated, has satisfied any condition required by the court, and is fit to practice law. Notwithstanding, the Panel retains discretion to deny for good cause reinstatement to an attorney who has been disbarred by this court.
- (4) **Reinstatement Not Automatic.** Reinstatement or readmission is neither automatic nor a matter of right, except that reinstatement following administrative suspension for failure to pay an annual fee or to comply with mandatory continuing legal education requirements shall be automatic on receipt by this court of written proof of reinstatement by the original suspending jurisdiction.
- (5) **Effect of Reinstatement or Admission in the Original Disciplining Court.** An attorney who has been reinstated or readmitted by the original disciplining court, but who remains suspended or disbarred in a different court for the same conduct as that at issue in the original disciplining court, may apply for reinstatement or readmission. The attorney may be required to demonstrate professional competence.



- (6) **Conditions.** Reinstatement or readmission may be subject to conditions as the Panel may require, including but not limited to monitoring, reporting, testing, and education.
- (b) **Reinstatement from Disability Inactive Status or After Suspension Because of Disability or Incapacity.**
- (1) **Applications.** An attorney who is on disability inactive status or who has been suspended for mental or physical disability or substance abuse may apply for reinstatement not more than once a year, or more frequently if the Panel so directs. An attorney who was suspended because of a judicial declaration of incompetence or involuntary commitment to a treatment facility must provide proof that the attorney has been declared competent by a court of competent jurisdiction.
- (2) **Disclosures, Consent, and Waiver.** An attorney applying for reinstatement under this rule shall disclose the name and address of every psychiatrist, psychologist, physician, hospital, or other health-care provider that has examined or treated him or her in the three year period before his or her suspension, unless the Committee establishes a different period. The attorney shall furnish the Panel or the Committee a written consent and release to obtain from these sources information and records requested by the Panel or the Committee or any expert designated by the Committee. Filing an application for reinstatement constitutes waiver of any physician-patient privilege or psychotherapist-patient privilege with respect to any related examination, diagnosis, or treatment of the attorney.
- (3) **General Procedure.** The Committee may take or direct such action as it deems appropriate to investigate whether the application should be granted, including collection of evidence and examination by such medical experts as the Panel or Committee may designate. The Committee may direct that the expense of examination be paid by the attorney. The attorney may make a written submission to the Committee. The Committee shall submit its recommendation to the Panel, which shall determine whether to approve the application.
- (4) **Standard.** The application shall be granted only if the attorney shows by clear and convincing evidence that the attorney is no longer disabled and is fit to practice law.
- (5) **Conditions.** Reinstatement under this rule may be subject to such conditions as the Panel may require.

## VIII. CONFIDENTIALITY AND IMMUNITY

### D.C.COLO.LAttyR 12

#### CONFIDENTIAL AND PUBLIC MATTERS

- (a) **Confidential Matters.** Except as provided in this rule, all documents, deliberations, and proceedings of the Committee and the Panel shall be confidential and not available or open to the public.
- (b) **Public Matters.** The public shall have access to the following:
  - (1) orders for admission, reinstatement, readmission, relief from the rule of good standing, disability inactive status, censure, suspension, disbarment, and dismissal after a response has been filed; and
  - (2) charges submitted to the Panel, the answer of the respondent to the charges, and the hearings of the Panel on the charges.
- (c) **Disclosures.** The Panel and the Committee have discretion to disclose information in the following circumstances:
  - (1) where disclosure is necessary to discharge the duties of the Panel or the Committee, or to otherwise protect the public, the administration of justice, or the legal profession;
  - (2) where the respondent has waived confidentiality;
  - (3) where disclosure is authorized under the Subpoena Regulations of the Administrative Office of the United States Courts; or
  - (4) where disclosure is to a judicial officer of this court.

### D.C.COLO.LAttyR 13

#### IMMUNITY

- (a) **Persons Responding to Inquiries or Giving Testimony.** A person responding to inquiries from or giving testimony to the Committee, the Panel, or agents acting at their direction, shall be absolutely immune from any civil action relating to such participation.
- (b) **Persons Performing Official Duties.** A person performing official duties under the provisions of these disciplinary rules, including but not limited to members of the Committee, the Panel and assigned court staff, monitors or other members of the bar working in connection with the Committee or the Panel, and health care

professionals working in connection with disciplinary proceedings, shall be immune from suit for all conduct in the course of the discharge of their official duties.

## **IX. STUDENT PRACTICE**

### **D.C.COLO.LAttyR 14 STUDENT PRACTICE**

#### **(a) General Provisions.**

- (1) With the approval of the district judge (or magistrate judge exercising consent jurisdiction under D.C.COLO.LCivR 72.2), to whom a matter has been assigned, an eligible law student may appear, under the supervision of an attorney admitted to practice in this court and employed in a law school clinical program or by a government agency, in an action on behalf of any party who has consented in writing.
- (2) Unless otherwise limited, once admitted under Subdivision (d), the student may appear in that action in court or other related proceedings when accompanied by a supervising attorney and may prepare and sign pleadings and documents which are signed by the supervising attorney.

#### **(b) Student Eligibility.** To be eligible, the student shall:

- (1) be enrolled in a law school approved by the American Bar Association or, following graduation, be preparing to take a written bar examination or awaiting admission to the bar following that examination;
- (2) be enrolled in or have successfully completed a law school clinical program or an externship or internship with a government agency;
- (3) have completed two full semesters of law school, including a course in evidence;
- (4) be certified by the dean of the law school (or the designee of the dean) as qualified to provide the legal representation authorized by this rule. The certification may be withdrawn by the certifier at any time by mailing notice to the court;
- (5) be introduced to the court by the supervising attorney;

- (6) not receive compensation of any kind from the client. This shall not affect the ability or right of an attorney or law school clinical program to seek attorney fees which may include compensation for student services; and
- (7) certify in writing that he or she is familiar with the Federal Rules of Criminal or Civil Procedure (depending on the nature of the matter), Federal Rules of Evidence, and local rules of practice of this court and website [HERE](#), including the judicial officers' procedures.

**(c) Supervising Attorney.** The attorney supervising a student shall:

- (1) be a member in good standing of the bar of this court;
- (2) supervise students in a clinical program of an eligible law school or supervise students enrolled in an externship or internship program with a government agency;
- (3) maintain appropriate professional liability insurance for the supervising attorney and eligible students;
- (4) introduce the student to the court;
- (5) assume professional responsibility for the work of the student;
- (6) be present whenever the student appears;
- (7) sign all pleadings; and
- (8) sign and file a written agreement to supervise a student in accordance with this rule.

**(d) Admission Procedure.**

- (1) The student, dean (or designee), supervising attorney, and the client shall complete the Law Student Appearance form [HERE](#) which shall be filed with the clerk.
- (2) The appearance of the student is not authorized until approved by the district judge (or magistrate judge exercising consent jurisdiction under D.C.COLO.LCivR 72.2), which approval may be withheld or withdrawn for any reason without notice or hearing.

## X. ATTORNEY PRO BONO REPRESENTATION

### D.C.COLO.LAttyR 15

#### CIVIL PRO BONO REPRESENTATION

- (a) **Court Appointed Pro Bono Representation in Civil Actions.** The Civil Pro Bono Program provides for the selection and appointment of eligible, volunteer attorneys to represent without compensation eligible, unrepresented parties in civil actions when requested by the court. The program is implemented through the Standing Committee on Pro Se Litigation (Standing Committee), the Civil Pro Bono Panel (Panel) and the Faculty of Federal Advocates (FFA).
- (b) **Standing Committee: Composition, Mission, and Authority.**
- (1) The Chief Judge shall appoint the members of the Standing Committee. The committee shall include one district judge, one magistrate judge, the Legal Officer of the court, and one representative each from the following organizations: the FFA, the Colorado Bar Association, a private law firm, Colorado Legal Services, the clinical program of the University of Denver Sturm College of Law, the clinical program of the University of Colorado Law School, and the Pro Se division of the court.
  - (2) The purpose and mission of the Standing Committee is to oversee the Panel, report annually to the court on the status of the program, and promote access to the court by unrepresented parties.
  - (3) Decisions of the Standing Committee shall be made by majority vote of those present at a meeting of the committee. A majority of the district judges may vacate a decision of the Standing Committee.
- (c) **Panel Membership and Removal.**
- (1) A member of the Panel shall be an attorney who is a member in good standing of the bar of this court.
  - (2) A member of the Panel shall be available and willing to accept an appointment when reasonable and appropriate.
  - (3) A member of the Panel may be removed from the Panel by the Standing Committee for the following reasons:
    - (A) an excessive number of declinations of appointment or requests by an attorney for relief from appointment after entering an appearance; or

(B) failure to comply with the local rules of the court during the pro bono representation of an unrepresented party.

(4) A member may withdraw from the Panel at any time by letter to the clerk.

**(d) Attorney Eligibility.**

(1) An attorney, law firm, non-profit legal organization, or clinical legal education program at a law school accredited by the American Bar Association (Clinic) may apply for membership on the Panel. The application form is available on the court website [HERE](#). Information on an application may be amended at any time by letter to the clerk.

(2) An application shall include the following:

(A) for a law firm, non-profit legal organization, or Clinic, the name of an individual within the organization to act as Panel Liaison and to receive notices and information from the clerk;

(B) a statement that the applicant, i.e., attorney, Panel Liaison, or Clinic supervisor, is a member in good standing of the bar of this court;

(C) a summary of the civil trial experience or trial advocacy training of the applicant;

(D) the number of appointments per calendar year the applicant will accept; and

(E) the specific types of civil actions or causes of action the applicant will accept.

**(e) Pro Se Party Eligibility.**

(1) The following unrepresented parties are eligible for appointment of pro bono counsel:

(A) after initial review of the complaint by the Pro Se division of the court, a non-prisoner, unrepresented party who has been granted leave to proceed in forma pauperis (IFP) under 28 U.S.C. § 1915;

(B) after initial review of the complaint by the Pro Se division of the court, an unrepresented prisoner; and

(C) after demonstrating limited financial means, a non-prisoner,

unrepresented party who has paid the filing fee in full.

**(f) Appointment Procedure.**

(1) Prerogatives of judicial officers.

- (A) A judicial officer to whom the civil action is assigned may on motion by an eligible, unrepresented party or on his or her own initiative enter an Appointment Order authorizing appointment of a member of the Panel to represent the party and directing the clerk to select an attorney with a relevant subject matter preference or expertise.
- (B) In deciding whether to appoint counsel, the judicial officer should consider all relevant circumstances, including, but not limited to, the following:
  - (i) the nature and complexity of the action;
  - (ii) the potential merit of the claims or defenses of the unrepresented party;
  - (iii) the demonstrated inability of the unrepresented party to retain an attorney by other means; and
  - (iv) the degree to which the interests of justice, including the benefits to the court, will be served by appointment of counsel.

(2) Duties of the clerk.

- (A) No later than 14 days after the filing of an Appointment Order, the clerk shall select a member of the Panel to represent the unrepresented party using an automated, random selection process.
- (B) In making the selection, the clerk shall consider the following:
  - (i) the existence of counsel who is willing to accept appointment who is already representing the unrepresented party in another action in this court;
  - (ii) the relevant preference and expertise of the members of the Panel; and

- (iii) the equitable distribution of appointments among the members of the Panel, with preference given to counsel already representing the unrepresented party in another action in this court.
- (C) On selection of a member of the Panel, the clerk shall contact the member and provide relevant, case-specific documents, e.g., complaint, answer, pending motions, etc. For a law firm, clinic, or non-profit legal organization, the Panel Liaison shall select and maintain assignment of eligible counsel. No later than five days after contact, the member shall notify the clerk whether the member is available for appointment.
- (D) On receipt of notice of availability for and acceptance of appointment from the member of the Panel, the clerk shall file a Notice of Appointment and shall serve the unrepresented party with the Appointment Order, the Notice of Appointment, and this rule.
- (E) If after four attempts, the clerk is unable to select a member of the Panel who is available and willing to accept appointment, the clerk shall notify the judicial officer who entered the Appointment Order of the unavailability of counsel.

**(g) Duties of Court-Appointed Counsel.**

- (1) On receipt of the Notice of Appointment, the attorney shall communicate promptly with the unrepresented party to determine whether any actual or potential conflict of interest exists and whether the action can be resolved more appropriately in another forum or by other means.
- (2) Unless ordered otherwise, no later than 30 days after receipt of the Notice of Appointment, the attorney shall file:
  - (A) an Entry of Appearance under D.C.COLO.LAttyR 5(a); or
  - (B) a Notice Declining Appointment stating good cause for declining the appointment.
- (3) The appointment of pro bono counsel in the designated civil action does not extend to an appeal after final judgment or in any other civil action.
- (4) An attorney appointed under this rule shall represent the unrepresented party from the date of the Entry of Appearance until



- (A) the court permits the attorney to withdraw;
- (B) the case is dismissed;
- (C) the case is transferred to another district or remanded to state court; or
- (D) final judgment is entered.

**(h) Fee Agreements.**

- (1) As a general rule, the attorney shall represent the unrepresented party without remuneration.
- (2) However, if the unrepresented party is entitled to recover attorney fees or a monetary award or settlement, the attorney and the unrepresented party may enter into a fee agreement permitting the attorney to receive attorney fees that are earned.
- (3) Alternatively, the attorney and the unrepresented party may enter into a contingent fee agreement that complies with the Colorado Rules Governing Contingent Fees.
- (4) Any fee agreement shall be entered into before an Entry of Appearance is filed.
- (5) When a statute authorizes an award of attorney fees to the prevailing party, the attorney shall advise the unrepresented party of the potential award.

**(i) Reimbursement of Litigation Expenses from the Reimbursement Fund.**

- (1) A member of the Panel providing representation to an unrepresented party may apply to the FFA for reimbursement of litigation expenses.
- (2) The FFA shall have exclusive, final, non-appealable authority over the funds available to it for reimbursement of litigation expenses and the reimbursement of litigation expenses incurred by a member of the Panel in the representation of an unrepresented party.
- (3) The court periodically shall determine the contribution, if any, to the reimbursement fund.

- (j) **Withdrawal from Representation.** An attorney may seek to withdraw from the representation of an unrepresented party by motion to withdraw under D.C.COLO.LAttyR 2 and 5(b).
  
- (k) **Other Pro Bono Representation.** This rule does not preclude an attorney, law firm, or legal organization from providing pro bono representation to an unrepresented party in the absence of court appointment, nor does this rule prevent a judicial officer from requesting an attorney, law firm, or legal organization that is not a member of the Panel to represent an unrepresented party.